



COUNTY OF LOS ANGELES  
**Public Health**

**JONATHAN E. FIELDING, M.D., M.P.H.**  
Director and Health Officer

**JOHN SCHUNHOFF, Ph.D.**  
Acting Chief Deputy

313 North Figueroa Street, Room 909  
Los Angeles, California 90012  
TEL (213) 240-8117 C FAX (213) 975-1273

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**BOARD OF SUPERVISORS**

**Gloria Molina**  
First District

**Yvonne B. Burke**  
Second District

**Zev Yaroslavsky**  
Third District

**Don Knabe**  
Fourth District

**Michael D. Antonovich**  
Fifth District

October 10, 2006

The Honorable Board of Supervisors  
County of Los Angeles  
383 Kenneth Hahn Hall of Administration  
500 West Temple Street  
Los Angeles, California 90012

Dear Supervisors:

**IMMUNIZATION PROGRAM SUBVENTION FUNDING FOR FISCAL  
YEAR 2006-2007 LOS ANGELES-ORANGE IMMUNIZATION NETWORK**  
(All Districts) (3 Votes)

IT IS RECOMMENDED THAT YOUR BOARD:

1. Approve and instruct the Director of Public Health, or his designee, to sign the attached Standard Agreement No. 06-55236 (Exhibit I) with the California Department of Health Services (CDHS), to accept continued funding support for the Statewide Immunization Information System (SIIS) effective July 1, 2006 through June 30, 2007, in the amount of \$588,779.
2. Delegate authority to the Director of Public Health, or his designee, to accept and sign subsequent Standard Agreements substantially similar to Standard Agreement No. 06-55236 from CDHS for FYs 2007-08, 2008-09, and 2009-10, upon review and approval of County Counsel and the Chief Administrative Office, and notification to Board offices.
3. Delegate authority to the Director of Public Health, or his designee, to sign amendments to the Standard Agreement No. 06-55236 from CDHS for FYs 2006-07, 2007-08, 2008-09, and 2009-10 to accept rollover funds, or to increase or decrease funding up to 25% of each FY's base award, and upon review and approval of County Counsel and the Chief Administrative Office, and notification to Board offices.
4. Approve and instruct the Director of Public Health, or his designee, to sign a renewal subcontract agreement, substantially similar to Exhibit II, with Pasadena Public Health Department (PPHD) to continue the deployment and support of the Los Angeles-Orange Immunization Network (LINK) effective upon Board approval through June 30, 2007 in the amount of \$25,553, 100% offset by CDHS funds, with provisions for a 12-month automatic

renewal through June 30, 2008, contingent upon receipt of CDHS funding for Fiscal Year (FY) 2007-08, and upon review and approval of County Counsel and the Chief Administrative Office, and notification to Board offices.

5. Approve and instruct the Director of Public Health, or his designee, to sign a renewal subcontract agreement, substantially similar to Exhibit III, with the City of Long Beach (LB) effective on the date of Board approval through June 30, 2007, in the amount of \$66,975, 100% offset by CDHS funds, to continue the deployment and support of LINK, with provisions for a 12-month automatic renewal through June 30, 2008, contingent upon receipt of CDHS funding for FY 2007-08, upon review and approval of County Counsel and the Chief Administrative Office, and notification to Board offices.
6. Approve and instruct the Director of Public Health, or his designee, to sign a renewal subcontract agreement, substantially similar to Exhibit IV, with the Orange County Health Care Agency (OCHCA) effective on the date of Board approval through June 30, 2007, in the amount of \$149,768, 100% offset by CDHS funds, to continue the deployment and support of LINK, with provisions for a 12-month automatic renewal through June 30, 2008, contingent upon receipt of CDHS funding for FY 2007-08, upon review and approval of County Counsel and the Chief Administrative Office, and notification to Board offices.
7. Delegate authority to the Director of Public Health, or his designee, to sign any amendments to each of the above subject agreements to increase or decrease funding up to 25% of the maximum obligation and/or extend the term to FY 2009-2010, based on availability of funds, and to apply other required contract revisions related to such funding increases or decreases and term extensions, which are consistent with the objectives of LINK and do not materially alter the terms and conditions of each subject agreement, all upon review and approval of County Counsel and the Chief Administrative Office, and notification to Board offices.

PURPOSE OF THE RECOMMENDED ACTIONS/JUSTIFICATION:

Although the County of Los Angeles Department of Public Health (DPH) (which was recently separated from the County of Los Angeles Department of Health Services) has delegated authority to increase or decrease funding up to 25% for the agreements with PPHD, LB, and OCHCA, as approved by the Board on October 18, 2004, funding recently received under the State Agreement No. 06-55236 reduced the budget for LB's contract and increased the budget for PPHD's and OCHCA's contracts by a percentage greater than 25%. This action will allow the Department to adjust funding for PPHD, LB, and OCHCA for FY 2006-07 and renew the agreements through FY 2009-10 upon acceptance of CDHS funding for the renewal term. Approval of the recommended actions will enable the Department, to accept CDHS funding for FY's 2007-08 through FY 2009-10, and continue, as the lead agency selected by CDHS, the deployment, and support of LINK, a local automated immunization system designed to monitor and track immunized children.

FISCAL IMPACT/FINANCING:

The total program cost for FY 2006-07 is \$668,270, which is funded by \$588,779 in CDHS funds, with a net County cost of \$79,491.

The maximum County obligation for this action with PPHD, LB, and OCHCA for FY 2006-07 is \$242,296 (\$25,553 for PPHD, \$66,975 for LB, and \$149,768 for OCHCA) which is 100% offset by CDHS funding; the contractual obligation for FY 2007-08 through FY 2009-10 is contingent upon the award of additional funds from CDHS and will be 100% offset by State funding.

Funding for this project is included in the FY 2006-07 Adopted Budget and will be requested in subsequent years.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS:

Since 1996, the Board has accepted immunization funds from CDHS for the automated immunization information system. Subsequently, the Board has approved additional Standard Agreements from CDHS to continue funding support for LINK.

On July 20, 2006, the Department of Public Health received Standard Agreement No. 06-55236 for funding for LINK. The term of the Standard Agreement is July 1, 2006 through June 30, 2007. The term of the renewal subcontract agreements with PPHD, LB, and OCHCA is the date of Board approval through June 30, 2007, with provisions for a 12-month automatic renewal through June 30, 2008. The automatic renewals are contingent upon receipt of CDHS funding for FY 2007-08.

On February 10, 2004, the Board authorized the Director of Health Services to sign agreements with PPHD and LB for FY 2004-05 with provisions for a 12-month automatic renewal through June 30, 2005. Under this authority the Department of Health Services processed FY 2005-06 amendments with LB and PPHD in the amounts of \$40,635 and \$62,068 respectively.

On October 5, 2004, the Board approved an agreement with OCHCA in the amount of \$35,493 effective October 5, 2004 through June 30, 2005 with provisions for automatic renewal through June 30, 2006, contingent upon receipt of CDHS funding for FY 2005-06.

On October 18, 2005 the Board approved the Department's request to adjust funding for PPHD, LB, and OCHCA since the maximum obligation for 2005-06 exceeded the 15% adjustment approved previously by the Board, and authorized the increase or decrease of funding up to 25% of the contractual maximum obligation of PPHD, LB, and OCHCA, during the term of the agreements. Based upon funding received from CDHS, the revised amounts for FY 2006-07 for distribution to PPHD, LB, and OCHCA exceed the 25% delegated authority authorized by the Board, thus requiring the Department to obtain Board approval of the attached amendments.

County Counsel has reviewed and approved the Standard Agreement and the renewal subcontract agreements with PPHD, LB, and OCHCA as to use and form.

Attachments A and B provide additional information. Attachment C is the Grant Management Statement which the Board instructed all County departments to include in all Board letters for grants exceeding \$100,000.

CONTRACTING PROCESS:

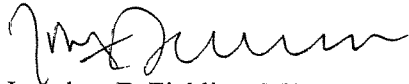
Not applicable. It is not appropriate to advertise amendments on the Los Angeles County Online Web Site as a contract/business opportunity.

IMPACT ON CURRENT SERVICES (OR PROJECTS):

LINK continues to support the Los Angeles County Immunization Program's objectives to decrease the occurrence of vaccine-preventable disease by giving public and private providers access to a complete and accurate client immunization history, recommended immunization schedules, and reminder/recall functions.

When approved, this Department requires three signed copies of the Board's action.

Respectfully submitted,



Jonathan E. Fielding, M.D., M.P.H.  
Director and Chief Medical Officer

JEF:jr  
06:4721

Attachments (6)

c: Chief Administrative Officer  
County Counsel  
Executive Officer, Board of Supervisors



**SUMMARY OF AGREEMENTS**

1. **TYPE OF SERVICES:**

Provision of a local automated immunization information system to ensure adequate immunization of all children in the community from vaccine-preventable disease.

2. **AGENCY ADDRESS/CONTACT PERSON:**

California Department of Health Services (CDHS)  
Immunization Branch  
850 Marina Bay Parkway, Building P, 2nd Floor  
Richmond, California 94804  
Attention: Ms. Rowena P. Manuel  
Telephone: (510) 620-3736 Facsimile: (510) 620-3774  
Electronic mail: [rmanuel@dhs.ca.gov](mailto:rmanuel@dhs.ca.gov)

3. **TERM OF AGREEMENTS:**

July 1, 2006 through June 30, 2007. The term of the renewal subcontract agreements with PPDH, LB, and OCHCA are upon Board approval through June 30, 2007, with provisions for a 12-month automatic renewal through June 30, 2008, contingent upon receipt of CDHS funding for FY 2007-08.

4. **FINANCIAL INFORMATION:**

The total program cost for FY 2006-07 is \$668,270, which is funded by \$588,779 in CDHS funds, with a net County cost of \$79,491.

The maximum County obligation for this action with PPHD, LB, and OCHCA for FY 2006-07 is \$242,296 (\$25,553 for PPHD, \$66,975 for LB, and \$149,768 for OCHCA) which is 100% offset by CDHS funding; contractual obligation for FY 2007-08 through FY 2009-10 is contingent upon the award of additional funds from CDHS and will be 100% offset by State funding.

Funding for this project is included in the FY 2006-07 Adopted Budget and will be requested in subsequent years.

5. **PRIMARY GEOGRAPHIC AREA TO BE SERVED:**

Countywide.

6. **DESIGNATED ACCOUNTABLE FOR PROJECT MONITORING:**

Dr. Robert Kim-Farley, M.D., M.P.H., Director, Communicable Disease Control and Prevention

7. **APPROVALS:**

Public Health (PH):

PH Contracts and Grants Division:

County Counsel (approval as to use and form):

John F. Schunhoff, Ph. D., Acting Chief Deputy

Gary Izumi, Acting Chief

Robert Ragland, Senior Deputy County Counsel

**Los Angeles County- Department of Public Health  
IMMUNIZATION PROJECT  
SUBVENTION CONTRACT FUNDS**

*Fiscal Year 2007 - 2008*

**DETAILED LINE ITEM BUDGET FOR THIS PROJECT:**

<b>I. Personnel Services</b>	<b>% Time or # of Hours</b>	<b>Monthly Salary Range or Hourly Rate</b>	<b>Program Costs</b>	<b>Grant Funds</b>	<b>NCC</b>
Information Sys Analyst II	250%	\$4,367 - \$5,425	\$154,612.00	\$154,612.00	\$0.00
Information Sys Coordinator	100%	\$5,320 - \$6,608	\$81,278.00	\$81,278.00	\$0.00
<b>Total Salaries and Wages</b>			\$235,890.00	\$235,890.00	\$0.00
<b>II. Fringe Benefits (a)</b>			\$111,817.99	\$89,638.20	\$22,179.79
<b>TOTAL PERSONNEL SERVICES + FRINGE BENEFITS</b>			\$347,707.99	\$325,528.20	\$22,179.79
<b>III. Operating Expenses</b>					
▪ Office Supplies			\$14,455.00	\$14,455.00	\$0.00
▪ Clinic Supplies					
▪ Health Education Materials			\$2,000.00	\$2,000.00	\$0.00
▪ Printing					
▪ Other (telecommunications & postage)					
<b>IV. Equipment Expenses</b>					
▪ unit(s) which cost more than \$5,000					
<b>V. Travel &amp; Per Diem Expenses</b>					
▪ In-State Travel (includes SIIS and NIC)			\$3,000.00	\$3,000.00	\$0.00
▪ Out-of-State Travel			\$1,500.00	\$1,500.00	\$0.00
<b>TOTAL OPERATING + EQUIPMENT + TRAVEL &amp; PER DIEM</b>			\$20,955.00	\$20,955.00	\$0.00
<b>VI. Subcontracts</b>					
<i>Complete Exhibit B, Subcontract page(s).</i>			\$242,296.00	\$242,296.00	\$0.00
<b>VII. Other Costs</b>					
<i>Indirect Costs</i>			\$57,311.36	\$0.00	\$57,311.36
<b>TOTAL BUDGET = Personnel Costs + Fringe Benefits + Operating + Equipment + Travel + Subcontracts + Other</b>			\$668,270.36	\$588,779.20	\$79,491.16

**Notes:**

State allows Employee Benefits rate at 38%.

Department of Public Health Employee Benefits rate at 47.4026%

Los Angeles County- Department of Public Health  
IMMUNIZATION PROJECT  
SUBVENTION CONTRACT FUNDS

Fiscal Year 2006 - 2007

DETAILED LINE ITEM BUDGET FOR THIS PROJECT:

I. Personnel Services	% Time or # of Hours	Monthly Salary Range or Hourly Rate	Program Costs	Grant Funds	NCC
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<b>Total Salaries and Wages</b>			\$235,890.00	\$235,890.00	\$0.00
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<b>TOTAL PERSONNEL SERVICES + FRINGE BENEFITS</b>			\$347,707.99	\$325,528.20	\$22,179.79
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▪ Printing					
▪ Other (telecommunications & postage)					
<b>IV. Equipment Expenses</b>					
▪ unit(s) which cost more that \$5,000					
<b>V. Travel &amp; Per Diem Expenses</b>					
▪ In-State Travel (includes SIIS and NIC)			\$3,000.00	\$3,000.00	\$0.00
▪ Out-of-State Travel			\$1,500.00	\$1,500.00	\$0.00
<b>TOTAL OPERATING+EQUIPMENT+TRAVEL &amp; PER DIEM</b>			\$20,955.00	\$20,955.00	\$0.00
<b>VI. Subcontracts</b>					
Complete Exhibit B, Subcontract page(s).			\$242,296.00	\$242,296.00	\$0.00
<b>VII. Other Costs</b>					
Indirect Costs			\$57,311.36	\$0.00	\$57,311.36
<b>TOTAL BUDGET - Personnel Costs+Fringe Benefits+Operating+Equipment+Travel+Subcontracts+Other</b>			\$668,270.36	\$588,779.20	\$79,491.16

Notes:

State allows Employee Benefits rate at 38%.

Department of Public Health Employee Benefits rate at 47.4026%

**Los Angeles County Chief Administrative Office  
Grant Management Statement for Grants Exceeding \$100,000**

Department: Public Health

**Grant Project Title and Description**

Standard Agreement No. 06-55236 - Statewide Immunization Information System (SIIS)

Grant funds provide for the provision of a local automated immunization information and reminder system to ensure adequate immunization of all children in the community.

Funding Agency	Program (Fed. Grant #/State Bill or Code #)	Grant Acceptance Deadline
CDHS	Standard Agreement No. 06-55236	ASAP

Total Amount of Grant Funding:	\$588,779	County Match Requirements	N/A	N/A
Grant Period:	FY 2006-2007	Begin Date:	July 1, 2006	End Date: June 30, 2007
Number of Personnel Hired Under this Grant:		Full Time	3	Part Time 1


**Obligations Imposed on the County When the Grant Expires**

Will all personnel hired for this program be informed this is a grant funded program?	Yes	<u>X</u>	No	_____
Will all personnel hired for this program be placed on temporary ("N") items?	Yes	<u>X</u>	No	_____
Is the County obligated to continue this program after the grant expires	Yes	_____	No	<u>X</u>
If the County is not obligated to continue this program after the grant expires, the Department will:				
a). Absorb the program cost without reducing other services	Yes	_____	No	<u>X</u>
b). Identify other revenue sources	Yes	<u>X</u>	No	_____
(Describe)				
c). Eliminate or reduce, as appropriate, positions/program costs funded by this grant.	Yes	<u>X</u>	No	_____

Impact of additional personnel on existing space: N/A

Other requirements not mentioned above N/A

Department Head Signature



Date 9-27-06

REGISTRATION NUMBER

AGREEMENT NUMBER

06-55236

1. This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME

(Also referred to as CDHS, DHS, or the State)

California Department of Health Services

CONTRACTOR'S NAME

(Also referred to as Contractor)

County of Los Angeles (Department of Health Services)

2. The term of this Agreement is: July 1, 2006 through June 30, 2007

3. The maximum amount of this Agreement is: \$ 588,779  
Five Hundred Eighty-Eight Thousand, Seven Hundred Seventy-Nine Dollars

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of this Agreement.

Exhibit A – Scope of Work	6 pages
Exhibit B – Budget Detail and Payment Provisions	3 pages
Exhibit B, Attachment I – Budget	1 page
Exhibit B, Attachment I, Schedule I – Subcontract Budget	1 page
Exhibit B, Attachment I, Schedule II – Subcontractor Budget	1 page
Exhibit B, Attachment I, Schedule III – Subcontractor Budget	1 page
Exhibit C * – General Terms and Conditions	GTC 306
Exhibit D (F) – Special Terms and Conditions (Attached hereto as part of this agreement)	26 pages
Exhibit E – Additional Provisions	1 page
Exhibit F – Contractor's Release	1 page
Exhibit G – Travel Reimbursement Information	2 pages

Items shown above with an Asterisk (\*), are hereby incorporated by reference and made part of this agreement as if attached hereto.  
These documents can be viewed at <http://www.ols.dgs.ca.gov/Standard+Language>.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

**CONTRACTOR**

CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.)

County of Los Angeles, Department of Public Health

BY (Authorized Signature)

DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

John F. Schunhoff, Ph.D., Acting Chief Deputy

ADDRESS

313 N. Figueroa street., Room 708 - Los Angeles, California 90012

**STATE OF CALIFORNIA**

AGENCY NAME

California Department of Health Services

BY (Authorized Signature)

DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Allan Chinn, Chief, Contracts and Purchasing Services Section

ADDRESS

1501 Capitol Avenue, Suite 71.2101, MS 1403, P.O. Box 997413  
Sacramento, CA 95899-7413

California Department of  
General Services Use Only

☐ Exempt per:

**EXHIBIT A**  
**Scope of Work**

**1. Service Overview**

Contractor agrees to provide to the California Department of Health Services (CDHS) the services described herein:

The purpose of this contract is to provide immunization subvention contract funds to public local health departments for the development and implementation of local and/or regional automated immunization information and reminder systems. The basic functions of the systems to be supported with these funds include: database merging immunization record information supplied by participating medical providers; rapid transfer of the collected information among participating health care providers in the catchment area; make notifications to clients and/or providers concerning immunizations that are due; and calculation of immunization coverage rates based on patient records in the database.

**2. Service Location**

The services shall be performed at applicable facilities in the County of Los Angeles.

**3. Service Hours**

The services shall be provided during County working hours and days.

**4. Project Representatives**

A. The project representatives during the term of this agreement will be:

**California Department of Health Services**

Name: Wendi Cate  
Telephone: (213) 351-7800  
Fax: (213) 351-2780  
Email: [Wcate@ladhs.org](mailto:Wcate@ladhs.org)

**Contractor**

Name: Cheri Todoroff, MPH  
Telephone: (213) 351-7800  
Fax: (213) 351-2780  
Email: [ctodoroff@ladhs.org](mailto:ctodoroff@ladhs.org)

B. Direct all inquiries to:

**California Department of Health Services**

Immunization Branch  
Attention: Rowena P. Manuel  
850 Marina Bay Pkwy., 2<sup>nd</sup> Floor  
Richmond, CA 94804

Telephone: (510) 620-3736  
Fax: (510) 620-3774  
E-mail: [rmanuel@dhs.ca.gov](mailto:rmanuel@dhs.ca.gov)

**Contractor**

County of Los Angeles  
Attention: Cheri Todoroff, MPH  
3530 Wilshire Boulevard, Suite 700  
Los Angeles, CA 90010

Telephone: (213) 351-7800  
Fax: (213) 351-2780  
Email: [ctodoroff@ladhs.org](mailto:ctodoroff@ladhs.org)

C. Either party may make changes to the information above by giving written notice to the other party. Said changes shall not require an amendment to this agreement.

**EXHIBIT A**  
**Scope of Work**

**5. Services To Be Performed**

The Contractor must agree to the following inclusive objectives and conduct the following activities. Please note that many of these services to be performed are also objectives and activities required by the Federal Government and are conditions for funding of the California Immunization Program and/or statutory requirements of State and local health departments. The level of subvention contract funding to be awarded is not represented as sufficient for support of all the required activities; a significant amount of local support and funding is expected. Subvention contract funds must not be used to supplant (i.e., replace) local funds currently being expended for routine immunization services and activities.

**A. Objectives:**

- 1) Continue implementation of regional automated immunization information and reminder system to ensure adequate immunization of all children in the community, whether served by private or publicly-funded providers.
- 2) Involve public and private immunization providers throughout the local area in the planning and implementation of the automated immunization information and reminder system and outreach to the community to educate and involve them in plans for increasing immunization through the use of the automated registry and recall system.
- 3) Work with other legislatively authorized registry users in contractor's region, in addition to immunization providers, to plan and implement participation in the registry, in accordance with the provisions of H&S Code Section 120440.
- 4) Continue to implement specific plans for protecting the security of the system and the integrity and confidentiality of shared data on the automated immunization system.
- 5) Provide project information to CDHS Immunization Branch in semi-annual project reports on implementation of the regional automated system to the Statewide Immunization and Information System (SIIS) in order to contribute to the overall development of the statewide system.
- 6) Continue to participate in SIIS Regional Operations Committee with other SIIS contractors to develop intra-network capabilities and share immunization information.
- 7) Submit semiannual progress reports to the Statewide Immunization Information System (SIIS) California Department of Health Services, Immunization Branch, 850 Marina Bay Pkwy., 2<sup>nd</sup> Floor, Richmond, California, 94804

**B. Specific Automated Regional Immunization Registry Activities**

- 1) Planning and Implementation
  - a. Complete development and execution of regional governance procedures and inter-county agreements.
  - b. Maintain a regional database in which immunization records of all children in the region are to be stored.

**EXHIBIT A**  
**Scope of Work**

- c. Develop and initiate plans for migrating any existing immunization registry database in the region into the regional database, while maintaining support for current users.
  - d. Use hardware and software options for database management, telecommunications, and network linkage that are easy to modify and facilitate interconnectivity, specifically including web enablement.
  - e. Identify target population(s) and prioritize entry into registry, beginning with infants and children under age 6 years.
  - f. Determine estimated fiscal/resource needs of registry and develop a plan to meet them.
  - g. Allow pediatric health care providers to input information into the registry by fully or partially automated mechanisms.
  - h. Merge, store, and update information on immunizations to each client by all providers in registry on a real-time basis.
  - i. Allow providers and other legislatively authorized users, as appropriate, to access client immunization history and immunization status in registry.
  - j. Provide registry function and usage guidelines, training and/or consultation to participating immunization providers and other legislatively authorized users.
  - k. Maintain help desk(s) to support software users in whole region. Report summaries of help desk(s) call trends when requested by CDHS.
  - l. Allow retrieval of client immunization information on a continual on-line basis.
  - m. Evaluate individual client immunization status vis-a-vis standard Advisory Committee on Immunization Practices and/or American Academy of Pediatrics recommended schedules and determine immunizations currently due.
  - n. Issue immunization reminder and/or recall notifications based on immunization status to client or their families, or providers per standard Advisory Committee on Immunization Practices and/or American Academy of Pediatrics guidelines.
  - o. In conjunction with annual Centers for Disease Control and Prevention (CDC) immunization registry annual reports, perform annual immunization coverage assessments of clients under 18 years of age.
- 2) Community-wide Participation
- a. Assess current capacity of health care providers in region to effectively participate in registry regard to automated equipment and to staffing and training.



**EXHIBIT A**  
**Scope of Work**

- b. Allow any interested pediatric health care providers or other legislatively authorized users to enter and review data in the registry, provided they comply with confidentiality, security, legislative provisions, and other requirements of the registry.
  - c. Form advisory group(s) with representation from at least the local health department, immunization and/or Maternal and Child Health (MCH) programs, non-profit Community Health Center (CHC), and at least three private immunization providers.  
Recommended: Include at least one Health Maintenance Organization (HMO) that is a major immunization provider, input from schools, Women, Infant and Children (WIC) agencies, lay community groups and technical advisers.
  - d. Maintain registry to be capable of serving all providers who want to participate.
  - e. Include providers from the local health department, non-profit Community Health Centers (CHC's), and the private medical sector.
  - f. Require all health care providers regularly receiving pediatric vaccines from local health departments to participate in the registry as a condition for receipt of vaccine.
- 3) Security, Data Integrity, and Confidentiality
- a. Continue to ensure the security of the physical system through proper housing and maintenance of the registry through software security systems in accordance with state guidelines.
  - b. Maintain written confidentiality procedures, in accordance with the California Department of Health Services, Immunization Branch, SIIS Regional Operations Committee guidelines.
  - c. Maintain records containing name and address of each provider or other agency with which each client's information is shared.
  - d. Include in the registry the source (i.e., name or physician or parent, type of record) of client information.
  - e. Conduct quality control of data, identify sources of incorrect information, correct inaccurate information and develop procedures to prevent inclusion of incorrect information.
  - f. Require providers to inform clients of what data will be entered into the registry, what data will be shared with whom for what purposes and of these clients' rights:
    - i. to refuse to allow information to be shared beyond one's own provider;
    - ii. to refuse to receive immunization recall/reminder notice;
    - iii. to inspect information to be shared and to have input to correct errors;
    - iv. to obtain, upon request, names and addresses of those with whom information has been shared.
  - g. Notify CDHS promptly when unauthorized use occurs.

**EXHIBIT A**  
**Scope of Work**

4) Sharing Regional Project Information

- a. Allow CDHS site visits and inspections of registry procedures, protocols, software, forms, etc. developed wholly or partly with funding from this agreement, and share these materials with CDHS to assist in development of registries throughout the state and the statewide registry system.

5) Internetworking and Coordination with SIIS

- a. Agree to exchange immunization information with SIIS, to maintain statewide standards for sharing information, and to adhere to protocols for electronic transactions.
- b. At least one regional registry representative must be willing to assist CDHS Immunization Branch in planning for SIIS conferences, which occur at least every April.
- c. Registry must meet inter-registry and linkage standards developed by CDHS with its SIIS working groups, in regard to hardware and software used.
- d. Registry must translate client information data elements into a specified statewide format for intra-registry transfer as specified by SIIS Integration Committee.
- e. Participate in transfer of client data between regional registries.
- f. Respond to queries from other regions for immunization information.
- g. Upon request by CDHS Immunization Branch, to have at least one back-up copy of the regional registry database (production version) stored at a location determined by CDHS Immunization Branch. Frequency of updates to this back-up copy of database will be determined by CDHS Immunization Branch. The regional registry is encouraged to maintain additional remote or on-site copies of the regional registry database.

C. Required Reports

1) Reports of Regional Program Progress and Activities

In accordance with the guidelines and format provided by the Immunization Branch, the Contractor shall submit to the SIIS Coordinator, at the Branch address identified in C, paragraph 3. Required Reports, progress reports (at least one per year by January 31st) and other reports as required for registry development and maintenance. In addition to the written report the Contractor and Project Liaison, or his designee, may meet and discuss the above matters in person.

**EXHIBIT A**  
**Scope of Work**

- 2) All reports and other written communications are to be addressed and delivered to the California Department of Health Services, Immunization Branch, 850 Marina Bay Pkwy., 2<sup>nd</sup> Floor, Richmond, California, 94804
- 3) The State reserves the right to use and reproduce all reports and data produced and delivered pursuant to this Contract and reserves the right to authorize others to use or reproduce such materials, provided that the confidentiality of patient information and records are protected pursuant to California State laws and regulations.

**6. Allowable Informal Scope Of Work Changes**

- A. The Contractor or the State may propose informal changes or revisions to the activities, tasks, deliverables and/or performance time frames specified in the Scope of Work, provided such changes do not alter the overall goals and basic purpose of the agreement.
- B. Informal SOW changes may include the substitution of specified activities or tasks; the alteration or substitution of agreement deliverables and modifications to anticipated completion/target dates.
- C. Informal SOW changes processed hereunder, shall not require a formal agreement amendment, provided the Contractor's annual budget does not increase or decrease as a result of the informal SOW change.
- D. Unless otherwise stipulated in this contract, all informal SOW changes and revisions are subject to prior written approval by the State.
- E. In implementing this provision, the State may provide a format for the Contractor's use to request informal SOW changes. If no format is provided by the State, the Contractor may devise its own format for this purpose.

**Exhibit B**  
**Budget Detail and Payment Provisions**

**1. Invoicing and Payment**

- A. For services satisfactorily rendered, and upon receipt and approval of the invoices, the State agrees to compensate the Contractor for actual expenditures incurred in accordance with the budget(s) attached hereto.
- B. Invoices shall include the Agreement Number and shall be submitted in triplicate not more frequently than quarterly in arrears to:

California Department of Health Services  
Immunization Branch  
Attn: Rowena P. Manuel  
850 Marina Bay Pkwy., 2<sup>nd</sup> Floor  
Richmond, CA 94804

C. Invoices shall:

- 1) Be prepared on company letterhead. If invoices are not on produced letterhead invoices must be signed by an authorized official, employee or agent certifying that the expenditures claimed represent actual expenses for the service performed under this contract.
  - 2) Bear the Contractor's name as shown on the Agreement.
  - 3) Identify the billing and/or performance period covered by the invoice.
  - 4) Itemize costs for the billing period in the same or greater level of detail as indicated in this agreement. Subject to the terms of this agreement, reimbursement may only be sought for those costs and/or cost categories expressly identified as allowable in this agreement and approved by CDHS.
- D. The total amount of the contract will be indicated as either some part, or all, of the total operations budget. If the total amount of the contract is less than the total operations budget, the Contractor will be responsible for providing the difference between the total amount of the contract and the total operations budget. Further, *all invoices to the State which request reimbursements for positions included in the Contractor's Application for Immunization Project Subvention Funds* submitted by the Contractor on May 22, 2006 shall include the name and position title of the persons that have performed in these positions.
- E. The Contractor shall provide for any personnel or operating expenses that are necessary to meet the provisions included herein but are not provided for in the Budget included as Exhibit "B" Attachment I.

**Exhibit B**  
**Budget Detail and Payment Provisions**

**2. Budget Contingency Clause**

- A. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- B. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an Agreement Amendment to Contractor to reflect the reduced amount.

**3. Prompt Payment Clause**

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

**4. Timely Submission of Final Invoice**

- A. A final undisputed invoice shall be submitted for payment no more than ninety (90) calendar days following the expiration or termination date of this Agreement, unless a later or alternate deadline is agreed to in writing by the program contract manager. Said invoice should be clearly marked "Final Invoice", thus indicating that all payment obligations of the State under this Agreement have ceased and that no further payments are due or outstanding.
- B. The State may, at its discretion, choose not to honor any delinquent final invoice if the Contractor fails to obtain prior written State approval of an alternate final invoice submission deadline. Written State approval shall be sought from the program contract manager prior to the expiration or termination date of this Agreement.
- C. The Contractor is hereby advised of its obligation to submit, with the final invoice, a **"Contractor's Release (Exhibit F)"** acknowledging submission of the final invoice to the State and certifying the approximate percentage amount, if any, of recycled products used in performance of this Agreement.

**5. Allowable Line Item Shifts**

- A. Cumulative line item shifts of up to \$25,000 or 10% of the annual Agreement total may be made, whichever is greater, up to a cumulative annual maximum of \$50,000, provided the annual Agreement total does not increase or decrease.

**Exhibit B**  
**Budget Detail and Payment Provisions**

- B. Line item shifts meeting this criteria shall not require a formal Agreement Amendment.
- C. Contractor shall adhere to State requirements regarding the process to follow in requesting approval to make line item shifts.
- D. Line item shifts may be proposed/requested by either the State or the Contractor.

**6. Expense Allowability / Fiscal Documentation**

- A. Invoices, received from a Contractor and accepted and/or submitted for payment by the State, shall not be deemed evidence of allowable agreement costs.
- B. Contractor shall maintain for review and audit and supply to CDHS upon request, adequate documentation of all expenses claimed pursuant to this agreement to permit a determination of expense allowability.
- C. If the allowability or appropriateness of an expense cannot be determined by the State because invoice detail, fiscal records, or backup documentation is nonexistent or inadequate according to generally accepted accounting principles or practices, all questionable costs may be disallowed and payment may be withheld by the State. Upon receipt of adequate documentation supporting a disallowed or questionable expense, reimbursement may resume for the amount substantiated and deemed allowable.
- D. If travel is a reimbursable expense, receipts must be maintained to support the claimed expenditures. For more information on allowable travel and per diem expenses and required documentation, see Exhibit G entitled, "Travel Reimbursement Information".

**Exhibit B, Attachment I**  
**Budget**

<b>Personnel</b>	<b>\$235,890.00</b>
<b>Fringe Benefits (38% of Personnel)</b>	<b>\$89,638.00</b>
<b>Operating Expenses or General Expenses</b>	<b>\$16,455.00</b>
<b>Equipment</b>	<b>\$0.00</b>
<b>Travel</b>	<b>\$4,500.00</b>
<b>Subcontracts</b>	<b>\$242,296.00</b>
Pasadena Public Health Department - \$25,553	
Long Beach Department of Health and Human Services - \$66,945	
County of Orange Health Care Agency - \$149,768	
<b>Other Costs</b>	<b>\$0.00</b>
<b>Indirect Costs (0%)</b>	<b>\$0.00</b>
<b>Total</b>	<b>\$588,779.00</b>

**Exhibit B, Attachment I, Schedule I**  
**Subcontractor Budget**

**Subcontracts**

Name of Subcontractor:	Pasadena Public Health Department
Contact Person:	Wilma Allen
Address:	1845 North Fair Oaks Avenue
City, State, & Zip Code:	Pasadena, CA 91103
Telephone #:	(626) 744-6044
Federal Tax I.D. Number:	95-6000759

<b>I. Personal Services (List positions)</b>	<b>% of Time or Number of Hours</b>	<b>Monthly Salary Range or Hourly Rate</b>	<b>Dollar Amount Requested</b>
Program Coordinator	30%	\$4,240 - \$4,990/month	\$ 17,964.00
Personal Services Subtotal			\$ 17,964.00
Fringe Benefits (30% of Personnel)			\$ 5,389.00
<b>Personal Services Subtotal</b>			<b>\$ 23,353.00</b>
<b>II. Operating Expenses</b>			
Supplies			\$ 300.00
Health Education Materials			\$ -
Travel			\$ 1,900.00
Equipment			\$ -
<b>Operating Expenses Subtotal</b>			<b>\$ 2,200.00</b>
<b>Subcontracts Total =</b>			<b>(I. Personal Services + II. Operating Expenses)</b>
			<b>25,553.00</b>

This schedule is being provided for informational purposes. Changes to the schedule do not require a contract amendment.



**Exhibit B, Attachment I, Schedule II**  
**Subcontractor Budget**

**Subcontracts**

Name of Subcontractor:	Long Beach Department of Health and Human Services
Contact Person:	Pam Shaw
Address:	2525 Grand Avenue
City, State, & Zip Code:	Long Beach, CA 90815
Telephone #:	(562) 570-4208
Federal Tax I.D. Number:	95-6000733

<b>I. Personal Services (List positions)</b>	<b>% of Time or Number of Hours</b>	<b>Biweekly Monthly Salary Range or Hourly Rate</b>	<b>Dollar Amount Requested</b>
LINK Coordinator	50%	\$4,915/month	\$ 29,490.00
Outreach Worker II	47%	\$2,951/month	\$ 16,644.00
Personal Services Subtotal			\$ 46,134.00
Fringe Benefits (38% of Personnel)			\$ 17,531.00
<b>Personal Services Subtotal</b>			<b>\$ 63,665.00</b>
<b>II. Operating Expenses</b>			
Supplies			\$ 600.00
Health Education Materials			\$ -
Travel			\$ 2,710.00
Equipment			\$ -
<b>Operating Expenses Subtotal</b>			<b>\$ 3,310.00</b>
<b>Subcontracts Total =</b>			<b>(I. Personal Services + II. Operating Expenses)</b>
			<b>\$ 66,975.00</b>

This schedule is being provided for informational purposes. Changes to the schedule do not require a contract amendment.

**Exhibit B, Attachment I, Schedule III**  
**Subcontractor Budget**

**Subcontracts**

Name of Subcontractor:	County of Orange Health Care Agency
Contact Person:	Tony Edmonds
Address:	1725 West 17th Street
City, State, & Zip Code:	Santa Ana, CA 92706
Telephone #:	(714) 834-7979
Federal Tax I.D. Number:	95-6000928W

<b>I. Personal Services (List positions)</b>	<b>% of Time or Number of Hours</b>	<b>Annual Rate</b>	<b>Dollar Amount Requested</b>
HCA Program Specialist II	50%	\$4,090 - \$5,514/month	\$ 28,194.00
Staff Specialist	50%	\$3,312 - \$4,430/month	\$ 24,600.00
Staff Specialist	50%	\$3,312 - \$4,430/month	\$ 24,600.00
Staff Nurse	50%	\$4,091 - \$4,697/month	\$ 28,182.00
Personnel Services Subtotal			\$ 105,576.00
Fringe Benefits (38% of Personnel)			\$ 40,119.00
<b>Personal Services Subtotal</b>			<b>\$ 145,695.00</b>
<b>II. Operating Expenses</b>			
Supplies			\$ 2,363.00
Health Education Materials			\$ -
Travel			\$ 1,710.00
Equipment			\$ -
			\$ -
<b>Operating Expenses Subtotal</b>			<b>\$ 4,073.00</b>
<b>Subcontracts Total =</b>			<b>(I. Personal Services + II. Operating Expenses)</b>
			<b>\$ 149,768.00</b>

This schedule is being provided for informational purposes. Changes to the schedule do not require a contract amendment.

### Special Terms and Conditions

*(For federally funded service contracts and grant awards)*

The use of headings or titles throughout this exhibit is for convenience only and shall not be used to interpret or to govern the meaning of any specific term or condition. The terms "contract", "Contractor" and "Subcontractor" shall also mean, "grant", "Grantee" and "Subgrantee" respectively.

This exhibit contains provisions that require strict adherence to various contracting laws and policies. Some provisions herein are conditional and only apply if specified conditions exist (i.e., agreement total exceeds a certain amount, agreement is federally funded, etc.). The provisions herein apply to this agreement unless the provisions are removed by reference on the face of the agreement, the provisions are superseded by an alternate provision appearing elsewhere in the agreement, or the applicable conditions do not exist.

### Index of Special Terms and Conditions

1. Federal Equal Employment Opportunity Requirements	17. Human Subjects Use Requirements
2. Travel and Per Diem Reimbursement	18. Novation Requirements
3. Procurement Rules	19. Debarment and Suspension Certification
4. Equipment Ownership / Inventory / Disposition	20. Smoke-Free Workplace Certification
5. Subcontract Requirements	21. Covenant Against Contingent Fees
6. Income Restrictions	22. Payment Withholds
7. Audit and Record Retention	23. Performance Evaluation
8. Site Inspection	24. Officials Not to Benefit
9. Federal Contract Funds	25. Four-Digit Date Compliance
10. Intellectual Property Rights	26. Prohibited Use of State Funds for Software
11. Air or Water Pollution Requirements	27. Use of Small, Minority Owned and Women's Businesses
12. Prior Approval of Training Seminars, Workshops or Conferences	28. Alien Ineligibility Certification
13. Confidentiality of Information	29. Union Organizing
14. Documents, Publications, and Written Reports	30. Contract Uniformity (Fringe Benefit Allowability)
15. Dispute Resolution Process	31. Lobbying Restrictions and Disclosure Certification
16. Financial and Compliance Audit Requirements	

## 1. Federal Equal Opportunity Requirements

(Applicable to all federally funded agreements entered into by the California Department of Health Services (CDHS).)

- a. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era. The Contractor will take affirmative action to ensure that qualified applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, national origin, physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and career development opportunities and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Federal Government or CDHS, setting forth the provisions of the Equal Opportunity clause, Section 503 of the Rehabilitation Act of 1973 and the affirmative action clause required by the Vietnam Era Veterans' Readjustment Assistance Act of 1974 (38 U.S.C. 4212). Such notices shall state the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified applicants without discrimination based on their race, color, religion, sex, national origin physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era and the rights of applicants and employees.
- b. The Contractor will, in all solicitations or advancements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era.
- c. The Contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding a notice, to be provided by the Federal Government or the State, advising the labor union or workers' representative of the Contractor's commitments under the provisions herein and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d. The Contractor will comply with all provisions of and furnish all information and reports required by Section 503 of the Rehabilitation Act of 1973, as amended, the Vietnam Era Veterans' Readjustment Assistance Act of 1974 (38 U.S.C. 4212) and of the Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," and of the rules, regulations, and relevant orders of the Secretary of Labor.
- e. The Contractor will furnish all information and reports required by Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," and the Rehabilitation Act of 1973, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the State and its designated representatives and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- f. In the event of the Contractor's noncompliance with the requirements of the provisions herein or with any federal rules, regulations, or orders which are referenced herein, this agreement may be cancelled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further federal and state contracts in accordance with procedures authorized in Federal Executive Order No. 11246 as amended and such other sanctions may be imposed and remedies invoked as provided in Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal

Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

- g. The Contractor will include the provisions of Paragraphs a through g in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," or Section 503 of the Rehabilitation Act of 1973 or (38 U.S.C. 4212) of the Vietnam Era Veteran's Readjustment Assistance Act, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the Director of the Office of Federal Contract Compliance Programs or CDHS may direct as a means of enforcing such provisions including sanctions for noncompliance provided, however, that in the event the Contractor becomes involved in, or is threatened with litigation by a subcontractor or vendor as a result of such direction by CDHS, the Contractor may request in writing to CDHS, who, in turn, may request the United States to enter into such litigation to protect the interests of the State and of the United States.

## 2. Travel and Per Diem Reimbursement

(Applicable if travel and/or per diem expenses are reimbursed with contract funds.)

Reimbursement for travel and per diem expenses from CDHS under this agreement shall, unless otherwise specified in this agreement, be at the rates currently in effect, as established by the California Department of Personnel Administration (DPA), for nonrepresented state employees as stipulated in CDHS' Travel Reimbursement Information Exhibit. If the DPA rates change during the term of the agreement, the new rates shall apply upon their effective date and no amendment to this agreement shall be necessary. Exceptions to DPA rates may be approved by CDHS upon the submission of a statement by the Contractor indicating that such rates are not available to the Contractor. No travel outside the State of California shall be reimbursed without prior authorization from CDHS. Verbal authorization should be confirmed in writing. Written authorization may be in a form including fax or email confirmation.

## 3. Procurement Rules

(Applicable to all agreements in which equipment, miscellaneous property, commodities and/or supplies are furnished by CDHS or expenses for said items are reimbursed with state or federal funds.)

### a. Equipment definitions

Wherever the term equipment and/or miscellaneous property is used, the following definitions shall apply:

- (1) **Major equipment:** A tangible or intangible item having a base unit cost of **\$5,000 or more** with a life expectancy of one (1) year or more and is either furnished by CDHS or the cost is reimbursed through this agreement. Software and videos are examples of intangible items that meet this definition.
- (2) **Minor equipment:** A tangible item having a base unit cost of **less than \$5,000** with a life expectancy of one (1) year or more that is listed on the CDHS Asset Management Unit's Minor Equipment List and is either furnished by CDHS or the cost is reimbursed through this agreement. Contractors may obtain a copy of the Minor Equipment List by making a request through the CDHS program contract manager.
- (3) **Miscellaneous property:** A specific tangible item with a life expectancy of one (1) year or more that is either furnished by CDHS or the cost is reimbursed through this agreement. Examples include, but are not limited to: furniture (excluding modular furniture), cabinets, typewriters, desktop calculators, portable dictators, non-digital cameras, etc.

- b. **Government and public entities** (including state colleges/universities and auxiliary organizations), whether acting as a contractor and/or subcontractor, may secure all commodities, supplies, equipment and services related to such purchases that are required in performance of this agreement. Said procurements are subject to Paragraphs d through h of Provision 3. Paragraph c of Provision 3 shall also apply, if equipment purchases are delegated to subcontractors that are nonprofit organizations or commercial businesses.
- c. **Nonprofit organizations and commercial businesses**, whether acting as a contractor and/or subcontractor, may secure commodities, supplies, equipment and services related to such purchases for performance under this agreement.

- (1) Equipment purchases shall not exceed \$50,000 annually.

To secure equipment above the annual maximum limit of \$50,000, the Contractor shall make arrangements through the appropriate CDHS program contract manager, to have all remaining equipment purchased through CDHS' Purchasing Unit. The cost of equipment purchased by or through CDHS shall be deducted from the funds available in this agreement. Contractor shall submit to the CDHS program contract manager a list of equipment specifications for those items that the State must procure. The State may pay the vendor directly for such arranged equipment purchases and title to the equipment will remain with CDHS. The equipment will be delivered to the Contractor's address, as stated on the face of the agreement, unless the Contractor notifies the CDHS program contract manager, in writing, of an alternate delivery address.

- (2) All equipment purchases are subject to Paragraphs d through h of Provision 3. Paragraph b of Provision 3 shall also apply, if equipment purchases are delegated to subcontractors that are either a government or public entity.
- (3) Nonprofit organizations and commercial businesses, shall use a procurement system that meets the following standards:
  - (a) Maintain a code or standard of conduct that shall govern the performance of its officers, employees, or agents engaged in awarding procurement contracts. No employee, officer, or agent shall participate in the selection, award, or administration of a procurement contract in which, to his or her knowledge, he or she has a financial interest.
  - (b) Procurements shall be conducted in a manner that provides, to the maximum extent practical, open, and free competition.
  - (c) Procurements shall be conducted in a manner that provides for all of the following:
    - [1] Avoid purchasing unnecessary or duplicate items.
    - [2] Equipment solicitations shall be based upon a clear and accurate description of the technical requirements of the goods to be procured.
    - [3] Take positive steps to utilize small and veteran owned businesses.
- d. Unless waived or otherwise stipulated in writing by CDHS, prior written authorization from the appropriate CDHS program contract manager will be required before the Contractor will be reimbursed for any purchase of \$5,000 or more for commodities, supplies, equipment, and services related to such purchases. The Contractor must provide in its request for authorization all particulars necessary, as specified by CDHS, for evaluating the necessity or desirability of incurring such costs. The term "purchase" excludes the purchase of services from a subcontractor and public utility services at rates established for uniform applicability to the general public.
- e. In special circumstances, determined by CDHS (e.g., when CDHS has a need to monitor certain purchases, etc.), CDHS may require prior written authorization and/or the submission of paid vendor receipts for any purchase, regardless of dollar amount. CDHS reserves the right to either deny claims for reimbursement or to request repayment for any Contractor and/or subcontractor

purchase that CDHS determines to be unnecessary in carrying out performance under this agreement.

- f. The Contractor and/or subcontractor must maintain a copy or narrative description of the procurement system, guidelines, rules, or regulations that will be used to make purchases under this agreement. The State reserves the right to request a copy of these documents and to inspect the purchasing practices of the Contractor and/or subcontractor at any time.
- g. For all purchases, the Contractor and/or subcontractor must maintain copies of all paid vendor invoices, documents, bids and other information used in vendor selection, for inspection or audit. Justifications supporting the absence of bidding (i.e., sole source purchases) shall also be maintained on file by the Contractor and/or subcontractor for inspection or audit.
- h. CDHS may, with cause (e.g., with reasonable suspicion of unnecessary purchases or use of inappropriate purchase practices, etc.), withhold, cancel, modify, or retract the delegated purchase authority granted under Paragraphs b and/or c of Provision 3 by giving the Contractor no less than 30 calendar days written notice.

#### 4. Equipment Ownership / Inventory / Disposition

(Applicable to agreements in which equipment and/or miscellaneous property is furnished by CDHS and/or when said items are purchased or reimbursed with state or federal funds.)

- a. Wherever the term equipment and/or miscellaneous property is used in Provision 4, the definitions in Provision 3, Paragraph a shall apply.

Unless otherwise stipulated in this agreement, all equipment and/or miscellaneous property that are purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement shall be considered state equipment and the property of CDHS.

- (1) CDHS requires the reporting, tagging and annual inventorying of all equipment and/or miscellaneous property that is furnished by CDHS or purchased/reimbursed with funds provided through this agreement.

Upon receipt of equipment and/or miscellaneous property, the Contractor shall report the receipt to the CDHS program contract manager. To report the receipt of said items and to receive property tags, Contractor shall use a form or format designated by CDHS' Asset Management Unit. If the appropriate form (i.e., Contractor Equipment Purchased with CDHS Funds) does not accompany this agreement, Contractor shall request a copy from the CDHS program contract manager.

- (2) If the Contractor enters into an agreement with a term of more than twelve months, the Contractor shall submit an annual inventory of state equipment and/or miscellaneous property to the CDHS program contract manager using a form or format designated by CDHS' Asset Management Unit. If an inventory report form (i.e., Inventory/Disposition of CDHS-Funded Equipment) does not accompany this agreement, Contractor shall request a copy from the CDHS program contract manager. Contractor shall:

- (a) Include in the inventory report, equipment and/or miscellaneous property in the Contractor's possession and/or in the possession of a subcontractor (including independent consultants).
- (b) Submit the inventory report to CDHS according to the instructions appearing on the inventory form or issued by the CDHS program contract manager.
- (c) Contact the CDHS program contract manager to learn how to remove, trade-in, sell, transfer or survey off, from the inventory report, expired equipment and/or miscellaneous property that is no longer wanted, usable or has passed its life expectancy. Instructions will be supplied by CDHS' Asset Management Unit.

- b. Title to state equipment and/or miscellaneous property shall not be affected by its incorporation or

attachment to any property not owned by the State.

- c. Unless otherwise stipulated, CDHS shall be under no obligation to pay the cost of restoration, or rehabilitation of the Contractor's and/or Subcontractor's facility which may be affected by the removal of any state equipment and/or miscellaneous property.
- d. The Contractor and/or Subcontractor shall maintain and administer a sound business program for ensuring the proper use, maintenance, repair, protection, insurance and preservation of state equipment and/or miscellaneous property.
  - (1) In administering this provision, CDHS may require the Contractor and/or Subcontractor to repair or replace, to CDHS' satisfaction, any damaged, lost or stolen state equipment and/or miscellaneous property. Contractor and/or Subcontractor shall immediately file a theft report with the appropriate police agency or the California Highway Patrol and Contractor shall promptly submit one copy of the theft report to the CDHS program contract manager.
- e. Unless otherwise stipulated by the program funding this agreement, equipment and/or miscellaneous property purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement, shall only be used for performance of this agreement or another CDHS agreement.
- f. Within sixty (60) calendar days prior to the termination or end of this agreement, the Contractor shall provide a final inventory report of equipment and/or miscellaneous property to the CDHS program contract manager and shall, at that time, query CDHS as to the requirements, including the manner and method, of returning state equipment and/or miscellaneous property to CDHS. Final disposition of equipment and/or miscellaneous property shall be at CDHS expense and according to CDHS instructions. Equipment and/or miscellaneous property disposition instructions shall be issued by CDHS immediately after receipt of the final inventory report. At the termination or conclusion of this agreement, CDHS may at its discretion, authorize the continued use of state equipment and/or miscellaneous property for performance of work under a different CDHS agreement.

g. **Motor Vehicles**

(Applicable only if motor vehicles are purchased/reimbursed with agreement funds or furnished by CDHS under this agreement.)

- (1) If motor vehicles are purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement, within thirty (30) calendar days prior to the termination or end of this agreement, the Contractor and/or Subcontractor shall return such vehicles to CDHS and shall deliver all necessary documents of title or registration to enable the proper transfer of a marketable title to CDHS.
- (2) If motor vehicles are purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement, the State of California shall be the legal owner of said motor vehicles and the Contractor shall be the registered owner. The Contractor and/or a subcontractor may only use said vehicles for performance and under the terms of this agreement.
- (3) The Contractor and/or Subcontractor agree that all operators of motor vehicles, purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement, shall hold a valid State of California driver's license. In the event that ten or more passengers are to be transported in any one vehicle, the operator shall also hold a State of California Class B driver's license.
- (4) If any motor vehicle is purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement, the Contractor and/or Subcontractor, as applicable, shall provide, maintain, and certify that, at a minimum, the following type and amount of automobile liability insurance is in effect during the term of this agreement or any period of contract extension during which any vehicle remains in the Contractor's and/or Subcontractor's possession:



**Automobile Liability Insurance**

- (a) The Contractor, by signing this agreement, hereby certifies that it possesses or will obtain automobile liability insurance in the amount of \$1,000,000 per occurrence for bodily injury and property damage combined. Said insurance must be obtained and made effective upon the delivery date of any motor vehicle, purchased/reimbursed with agreement funds or furnished by CDHS under the terms of this agreement, to the Contractor and/or Subcontractor.
- (b) The Contractor and/or Subcontractor shall, as soon as practical, furnish a copy of the certificate of insurance to the CDHS program contract manager.
- (c) The Contractor and/or Subcontractor agree that bodily injury and property damage liability insurance, as required herein, shall remain in effect at all times during the term of this agreement or until such time as the motor vehicle is returned to CDHS.
- (d) The Contractor and/or Subcontractor agree to provide, at least thirty (30) days prior to the expiration date of said insurance coverage, a copy of a new certificate of insurance evidencing continued coverage, as indicated herein, for not less than the remainder of the term of this agreement, the term of any extension or continuation thereof, or for a period of not less than one (1) year.
- (e) The Contractor and/or Subcontractor, if not a self-insured government and/or public entity, must provide evidence, that any required certificates of insurance contain the following provisions:
  - [1] The insurer will not cancel the insured's coverage without giving thirty (30) calendar days prior written notice to the State (California Department of Health Services).
  - [2] The State of California, its officers, agents, employees, and servants are included as additional insureds, but only with respect to work performed for the State under this agreement and any extension or continuation of this agreement.
  - [3] The insurance carrier shall notify the California Department of Health Services (CDHS), in writing, of the Contractor's failure to pay premiums; its cancellation of such policies; or any other substantial change, including, but not limited to, the status, coverage, or scope of the required insurance. Such notices shall contain a reference to the agreement number for which the insurance was obtained.
- (f) The Contractor and/or Subcontractor is hereby advised that copies of certificates of insurance may be subject to review and approval by the Department of General Services (DGS), Office of Risk and Insurance Management. The Contractor shall be notified by CDHS, in writing, if this provision is applicable to this agreement. If DGS approval of the certificate of insurance is required, the Contractor agrees that no work or services shall be performed prior to obtaining said approval.
- (g) In the event the Contractor and/or Subcontractor fails to keep insurance coverage, as required herein, in effect at all times during vehicle possession, CDHS may, in addition to any other remedies it may have, terminate this agreement upon the occurrence of such event.

**5. Subcontract Requirements**

(Applicable to agreements under which services are to be performed by subcontractors including independent consultants.)

- a. Prior written authorization will be required before the Contractor enters into or is reimbursed for any subcontract for services costing \$5,000 or more. Except as indicated in Paragraph a(3) herein, when securing subcontracts for services exceeding \$5,000, the Contractor shall obtain at least three bids or justify a sole source award.

- (1) The Contractor must provide in its request for authorization, all information necessary for evaluating the necessity or desirability of incurring such cost.
- (2) The State may identify the information needed to fulfill this requirement.
- (3) Subcontracts performed by the following entities or for the service types listed below are exempt from the bidding and sole source justification requirements:
  - (a) A local governmental entity or the federal government,
  - (b) A State college or university from any State,
  - (c) A Joint Powers Authority,
  - (d) An auxiliary organization of a California State University or a California community college,
  - (e) A foundation organized to support the Board of Governors of the California Community Colleges,
  - (f) An auxiliary organization of the Student Aid Commission established under Education Code § 69522,
  - (g) Entities of any type that will provide subvention aid or direct services to the public,
  - (h) Entities and/or service types identified as exempt from advertising in State Administrative Manual Section 1233 subsection 3. View this publication at the following Internet address: <http://sam.dgs.ca.gov>.
- b. CDHS reserves the right to approve or disapprove the selection of subcontractors and with advance written notice, require the substitution of subcontractors and require the Contractor to terminate subcontracts entered into in support of this agreement.
  - (1) Upon receipt of a written notice from CDHS requiring the substitution and/or termination of a subcontract, the Contractor shall take steps to ensure the completion of any work in progress and select a replacement, if applicable, within 30 calendar days, unless a longer period is agreed to by CDHS.
- c. Actual subcontracts (i.e., written agreement between the Contractor and a subcontractor) of \$5,000 or more are subject to the prior review and written approval of CDHS. CDHS may, at its discretion, elect to waive this right. All such waivers shall be confirmed in writing by CDHS.
- d. Contractor shall maintain a copy of each subcontract entered into in support of this agreement and shall, upon request by CDHS, make copies available for approval, inspection, or audit.
- e. CDHS assumes no responsibility for the payment of subcontractors used in the performance of the agreement. Contractor accepts sole responsibility for the payment of subcontractors used in the performance of this agreement.
- f. The Contractor is responsible for all performance requirements under this agreement even though performance may be carried out through a subcontract.
- g. The Contractor shall ensure that all subcontracts for services include provision(s) requiring compliance with applicable terms and conditions specified in this agreement.
- h. The Contractor agrees to include the following clause, relevant to record retention, in all subcontracts for services:

"(Subcontractor Name) agrees to maintain and preserve, until three years after termination of (Agreement Number) and final payment from CDHS to the Contractor, to permit CDHS or any duly authorized representative, to have access to, examine or audit any pertinent books, documents, papers and records related to this subcontract and to allow interviews of any employees who might reasonably have information related to such records."
- i. Unless otherwise stipulated in writing by CDHS, the Contractor shall be the subcontractor's sole point of contact for all matters related to performance and payment under this agreement.

- j. Contractor shall, as applicable, advise all subcontractors of their obligations pursuant to the following numbered provisions of this Exhibit: 1, 2, 3, 4, 5, 6, 7, 8, 10, 11, 12, 13, 14, 17, 19, 20, 24, and 32.

## 6. Income Restrictions

Unless otherwise stipulated in this agreement, the Contractor agrees that any refunds, rebates, credits, or other amounts (including any interest thereon) accruing to or received by the Contractor under this agreement shall be paid by the Contractor to CDHS, to the extent that they are properly allocable to costs for which the Contractor has been reimbursed by CDHS under this agreement.

## 7. Audit and Record Retention

(Applicable to agreements in excess of \$10,000.)

- a. The Contractor and/or Subcontractor shall maintain books, records, documents, and other evidence, accounting procedures and practices, sufficient to properly reflect all direct and indirect costs of whatever nature claimed to have been incurred in the performance of this agreement, including any matching costs and expenses. The foregoing constitutes "records" for the purpose of this provision.
- b. The Contractor's and/or subcontractor's facility or office or such part thereof as may be engaged in the performance of this agreement and his/her records shall be subject at all reasonable times to inspection, audit, and reproduction.
- c. Contractor agrees that CDHS, the Department of General Services, the Bureau of State Audits, or their designated representatives including the Comptroller General of the United States shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this agreement. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, the Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this agreement. (GC 8546.7, CCR Title 2, Section 1896).
- d. The Contractor and/or Subcontractor shall preserve and make available his/her records (1) for a period of three years from the date of final payment under this agreement, and (2) for such longer period, if any, as is required by applicable statute, by any other provision of this agreement, or by subparagraphs (1) or (2) below.
  - (1) If this agreement is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for a period of three years from the date of any resulting final settlement.
  - (2) If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.
- e. The Contractor and/or Subcontractor shall comply with the above requirements and be aware of the penalties for violations of fraud and for obstruction of investigation as set forth in Public Contract Code § 10115.10, if applicable.
- f. The Contractor and/or Subcontractor may, at its discretion, following receipt of final payment under this agreement, reduce its accounts, books and records related to this agreement to microfilm, computer disk, CD ROM, or other data storage medium. Upon request by an authorized representative to inspect, audit or obtain copies of said records, the Contractor and/or Subcontractor must supply or make available applicable devices, hardware, and/or software necessary to view, copy and/or print said records. Applicable devices may include, but are not limited to, microfilm readers and microfilm printers, etc.

## 8. Site Inspection

The State, through any authorized representatives, has the right at all reasonable times to inspect or otherwise evaluate the work performed or being performed hereunder including subcontract supported activities and the premises in which it is being performed. If any inspection or evaluation is made of the premises of the Contractor or Subcontractor, the Contractor shall provide and shall require Subcontractors to provide all reasonable facilities and assistance for the safety and convenience of the authorized representatives in the performance of their duties. All inspections and evaluations shall be performed in such a manner as will not unduly delay the work.

## 9. Federal Contract Funds

(Applicable only to that portion of an agreement funded in part or whole with federal funds.)

- a. It is mutually understood between the parties that this agreement may have been written before ascertaining the availability of congressional appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays which would occur if the agreement were executed after that determination was made.
- b. This agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the fiscal years covered by the term of this agreement. In addition, this agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress or any statute enacted by the Congress which may affect the provisions, terms or funding of this agreement in any manner.
- c. It is mutually agreed that if the Congress does not appropriate sufficient funds for the program, this agreement shall be amended to reflect any reduction in funds.
- d. CDHS has the option to invalidate or cancel the agreement with 30-days advance written notice or to amend the agreement to reflect any reduction in funds.

## 10. Intellectual Property Rights

### a. Ownership

- (1) Except where CDHS has agreed in a signed writing to accept a license, CDHS shall be and remain, without additional compensation, the sole owner of any and all rights, title and interest in all Intellectual Property, from the moment of creation, whether or not jointly conceived, that are made, conceived, derived from, or reduced to practice by Contractor or CDHS and which result directly or indirectly from this agreement.
- (2) For the purposes of this agreement, Intellectual Property means recognized protectable rights and interest such as: patents, (whether or not issued) copyrights, trademarks, service marks, applications for any of the foregoing, inventions, trade secrets, trade dress, logos, insignia, color combinations, slogans, moral rights, right of publicity, author's rights, contract and licensing rights, works, mask works, industrial design rights, rights of priority, know how, design flows, methodologies, devices, business processes, developments, innovations, good will and all other legal rights protecting intangible proprietary information as may exist now and/or here after come into existence, and all renewals and extensions, regardless of whether those rights arise under the laws of the United States, or any other state, country or jurisdiction.
  - (a) For the purposes of the definition of Intellectual Property, "works" means all literary works, writings and printed matter including the medium by which they are recorded or reproduced, photographs, art work, pictorial and graphic representations and works of a similar nature, film, motion pictures, digital images, animation cells, and other audiovisual works including positives and negatives thereof, sound recordings, tapes, educational materials, interactive videos and any other materials or products created, produced, conceptualized and fixed in a tangible medium of expression. It includes preliminary and final products and any materials and information developed for the purposes of producing

those final products. Works does not include articles submitted to peer review or reference journals or independent research projects.

- (3) In the performance of this agreement, Contractor will exercise and utilize certain of its Intellectual Property in existence prior to the effective date of this agreement. In addition, under this agreement, Contractor may access and utilize certain of CDHS' Intellectual Property in existence prior to the effective date of this agreement. Except as otherwise set forth herein, Contractor shall not use any of CDHS' Intellectual Property now existing or hereafter existing for any purposes without the prior written permission of CDHS. **Except as otherwise set forth herein, neither the Contractor nor CDHS shall give any ownership interest in or rights to its Intellectual Property to the other Party.** If during the term of this agreement, Contractor accesses any third-party Intellectual Property that is licensed to CDHS, Contractor agrees to abide by all license and confidentiality restrictions applicable to CDHS in the third-party's license agreement.
- (4) Contractor agrees to cooperate with CDHS in establishing or maintaining CDHS' exclusive rights in the Intellectual Property, and in assuring CDHS' sole rights against third parties with respect to the Intellectual Property. If the Contractor enters into any agreements or subcontracts with other parties in order to perform this agreement, Contractor shall require the terms of the agreement(s) to include all Intellectual Property provisions. Such terms must include, but are not limited to, the subcontractor assigning and agreeing to assign to CDHS all rights, title and interest in Intellectual Property made, conceived, derived from, or reduced to practice by the subcontractor, Contractor or CDHS and which result directly or indirectly from this agreement or any subcontract.
- (5) Contractor further agrees to assist and cooperate with CDHS in all reasonable respects, and execute all documents and, subject to reasonable availability, give testimony and take all further acts reasonably necessary to acquire, transfer, maintain, and enforce CDHS' Intellectual Property rights and interests.

**b. Retained Rights / License Rights**

- (1) Except for Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDHS and which result directly or indirectly from this agreement, Contractor shall retain title to all of its Intellectual Property to the extent such Intellectual Property is in existence prior to the effective date of this agreement. Contractor hereby grants to CDHS, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose Contractor's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this agreement, unless Contractor assigns all rights, title and interest in the Intellectual Property as set forth herein.
- (2) Nothing in this provision shall restrict, limit, or otherwise prevent Contractor from using any ideas, concepts, know-how, methodology or techniques related to its performance under this agreement, provided that Contractor's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of CDHS or third party, or result in a breach or default of any provisions of this Exhibit or result in a breach of any provisions of law relating to confidentiality.

**c. Copyright**

- (1) Contractor agrees that for purposes of copyright law, all works [as defined in Section a, subparagraph (2)(a) of this provision] of authorship made by or on behalf of Contractor in connection with Contractor's performance of this agreement shall be deemed "works made for hire". Contractor further agrees that the work of each person utilized by Contractor in connection with the performance of this agreement will be a "work made for hire," whether that person is an employee of Contractor or that person has entered into an agreement with Contractor to perform the work. Contractor shall enter into a written agreement with any such person that: (i) all work performed for Contractor shall be deemed a "work made for hire" under the Copyright Act and (ii) that person shall assign all right, title, and interest to CDHS to any work product made, conceived, derived from, or reduced to practice by Contractor or CDHS and which result directly or indirectly from this agreement.
- (2) All materials, including, but not limited to, visual works or text, reproduced or distributed pursuant to this agreement that include Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDHS and which result directly or indirectly from this agreement, shall include CDHS' notice of copyright, which shall read in 3mm or larger typeface: "© [Enter Current Year e.g., 2006, etc.], California Department of Health Services. This material may not be reproduced or disseminated without prior written permission from the California Department of Health Services." This notice should be placed prominently on the materials and set apart from other matter on the page where it appears. Audio productions shall contain a similar audio notice of copyright.

**d. Patent Rights**

With respect to inventions made by Contractor in the performance of this agreement, which did not result from research and development specifically included in the agreement's scope of work, Contractor hereby grants to CDHS a license as described under Section b of this provision for devices or material incorporating, or made through the use of such inventions. If such inventions result from research and development work specifically included within the agreement's scope of work, then Contractor agrees to assign to CDHS, without additional compensation, all its right, title and interest in and to such inventions and to assist CDHS in securing United States and foreign patents with respect thereto.

**e. Third-Party Intellectual Property**

Except as provided herein, Contractor agrees that its performance of this agreement shall not be dependent upon or include any Intellectual Property of Contractor or third party without first: (i) obtaining CDHS' prior written approval; and (ii) granting to or obtaining for CDHS, without additional compensation, a license, as described in Section b of this provision, for any of Contractor's or third-party's Intellectual Property in existence prior to the effective date of this agreement. If such a license upon these terms is unattainable, and CDHS determines that the Intellectual Property should be included in or is required for Contractor's performance of this agreement, Contractor shall obtain a license under terms acceptable to CDHS.

**f. Warranties**

- (1) Contractor represents and warrants that:
  - (a) It is free to enter into and fully perform this agreement.
  - (b) It has secured and will secure all rights and licenses necessary for its performance of this agreement.
  - (c) Neither Contractor's performance of this agreement, nor the exercise by either Party of the rights granted in this agreement, nor any use, reproduction, manufacture, sale, offer to sell, import, export, modification, public and private display/performance, distribution, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDHS and which result directly or indirectly from this agreement will infringe upon or violate any Intellectual Property right, non-disclosure obligation, or

other proprietary right or interest of any third-party or entity now existing under the laws of, or hereafter existing or issued by, any state, the United States, or any foreign country. There is currently no actual or threatened claim by any such third party based on an alleged violation of any such right by Contractor.

- (d) Neither Contractor's performance nor any part of its performance will violate the right of privacy of, or constitute a libel or slander against any person or entity.
  - (e) It has secured and will secure all rights and licenses necessary for Intellectual Property including, but not limited to, consents, waivers or releases from all authors of music or performances used, and talent (radio, television and motion picture talent), owners of any interest in and to real estate, sites, locations, property or props that may be used or shown.
  - (f) It has not granted and shall not grant to any person or entity any right that would or might derogate, encumber, or interfere with any of the rights granted to CDHS in this agreement.
  - (g) It has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.
  - (h) It has no knowledge of any outstanding claims, licenses or other charges, liens, or encumbrances of any kind or nature whatsoever that could affect in any way Contractor's performance of this agreement.
- (2) CDHS MAKES NO WARRANTY THAT THE INTELLECTUAL PROPERTY RESULTING FROM THIS AGREEMENT DOES NOT INFRINGE UPON ANY PATENT, TRADEMARK, COPYRIGHT OR THE LIKE, NOW EXISTING OR SUBSEQUENTLY ISSUED.

**g. Intellectual Property Indemnity**

- (1) Contractor shall indemnify, defend and hold harmless CDHS and its licensees and assignees, and its officers, directors, employees, agents, representatives, successors, and users of its products, ("Indemnitees") from and against all claims, actions, damages, losses, liabilities (or actions or proceedings with respect to any thereof), whether or not rightful, arising from any and all actions or claims by any third party or expenses related thereto (including, but not limited to, all legal expenses, court costs, and attorney's fees incurred in investigating, preparing, serving as a witness in, or defending against, any such claim, action, or proceeding, commenced or threatened) to which any of the Indemnitees may be subject, whether or not Contractor is a party to any pending or threatened litigation, which arise out of or are related to (i) the incorrectness or breach of any of the representations, warranties, covenants or agreements of Contractor pertaining to Intellectual Property; or (ii) any Intellectual Property infringement, or any other type of actual or alleged infringement claim, arising out of CDHS' use, reproduction, manufacture, sale, offer to sell, distribution, import, export, modification, public and private performance/display, license, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDHS and which result directly or indirectly from this agreement. This indemnity obligation shall apply irrespective of whether the infringement claim is based on a patent, trademark or copyright registration that issued after the effective date of this agreement. CDHS reserves the right to participate in and/or control, at Contractor's expense, any such infringement action brought against CDHS.
- (2) Should any Intellectual Property licensed by the Contractor to CDHS under this agreement become the subject of an Intellectual Property infringement claim, Contractor will exercise its authority reasonably and in good faith to preserve CDHS' right to use the licensed Intellectual Property in accordance with this agreement at no expense to CDHS. CDHS shall have the right to monitor and appear through its own counsel (at Contractor's expense) in any such claim or action. In the defense or settlement of the claim, Contractor may obtain the right for CDHS to continue using the licensed Intellectual Property; or, replace or modify the licensed Intellectual Property so that the replaced or modified Intellectual Property becomes non-

infringing provided that such replacement or modification is functionally equivalent to the original licensed Intellectual Property. If such remedies are not reasonably available, CDHS shall be entitled to a refund of all monies paid under this agreement, without restriction or limitation of any other rights and remedies available at law or in equity.

- (3) Contractor agrees that damages alone would be inadequate to compensate CDHS for breach of any term of this Intellectual Property Exhibit by Contractor. Contractor acknowledges CDHS would suffer irreparable harm in the event of such breach and agrees CDHS shall be entitled to obtain equitable relief, including without limitation an injunction, from a court of competent jurisdiction, without restriction or limitation of any other rights and remedies available at law or in equity.

#### **h. Federal Funding**

In any agreement funded in whole or in part by the federal government, CDHS may acquire and maintain the Intellectual Property rights, title, and ownership, which results directly or indirectly from the agreement; except as provided in 37 Code of Federal Regulations part 401.14; however, the federal government shall have a non-exclusive, nontransferable, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.

#### **i. Survival**

The provisions set forth herein shall survive any termination or expiration of this agreement or any project schedule.

### **11. Air or Water Pollution Requirements**

Any federally funded agreement and/or subcontract in excess of \$100,000 must comply with the following provisions unless said agreement is exempt under 40 CFR 15.5.

- a. Government contractors agree to comply with all applicable standards, orders, or requirements issued under section 306 of the Clean Air Act [42 U.S.C. 1857(h)], section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR part 15).
- b. Institutions of higher education, hospitals, nonprofit organizations and commercial businesses agree to comply with all applicable standards, orders, or requirements issued under the Clean Air Act (42 U.S.C. 7401 et seq.), as amended, and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended.

### **12. Prior Approval of Training Seminars, Workshops or Conferences**

Contractor shall obtain prior CDHS approval of the location, costs, dates, agenda, instructors, instructional materials, and attendees at any reimbursable training seminar, workshop, or conference conducted pursuant to this contract and of any reimbursable publicity or educational materials to be made available for distribution. The Contractor shall acknowledge the support of the State whenever publicizing the work under this agreement in any media. This provision does not apply to necessary staff meetings or training sessions held for the staff of the Contractor or Subcontractor to conduct routine business matters.

### **13. Confidentiality of Information**

- a. The Contractor and its employees, agents, or subcontractors shall protect from unauthorized disclosure names and other identifying information concerning persons either receiving services pursuant to this agreement or persons whose names or identifying information become available or are disclosed to the Contractor, its employees, agents, or subcontractors as a result of services performed under this agreement, except for statistical information not identifying any such person.



- b. The Contractor and its employees, agents, or subcontractors shall not use such identifying information for any purpose other than carrying out the Contractor's obligations under this agreement.
- c. The Contractor and its employees, agents, or subcontractors shall promptly transmit to the CDHS program contract manager all requests for disclosure of such identifying information not emanating from the client or person.
- d. The Contractor shall not disclose, except as otherwise specifically permitted by this agreement or authorized by the client, any such identifying information to anyone other than CDHS without prior written authorization from the CDHS program contract manager, except if disclosure is required by State or Federal law.
- e. For purposes of this provision, identity shall include, but not be limited to name, identifying number, symbol, or other identifying particular assigned to the individual, such as finger or voice print or a photograph.

#### 14. Documents, Publications and Written Reports

(Applicable to agreements over \$5,000 under which publications, written reports and documents are developed or produced. Government Code Section 7550.)

Any document, publication or written report (excluding progress reports, financial reports and normal contract communications) prepared as a requirement of this agreement shall contain, in a separate section preceding the main body of the document, the number and dollar amounts of all contracts and subcontracts relating to the preparation of such document or report, if the total cost for work by nonemployees of the State exceeds \$5,000.

#### 15. Dispute Resolution Process

- a. A Contractor grievance exists whenever there is a dispute arising from CDHS' action in the administration of an agreement. If there is a dispute or grievance between the Contractor and CDHS, the Contractor must seek resolution using the procedure outlined below.
  - (1) The Contractor should first informally discuss the problem with the CDHS program contract manager. If the problem cannot be resolved informally, the Contractor shall direct its grievance together with any evidence, in writing, to the program Branch Chief. The grievance shall state the issues in dispute, the legal authority or other basis for the Contractor's position and the remedy sought. The Branch Chief shall render a decision within ten (10) working days after receipt of the written grievance from the Contractor. The Branch Chief shall respond in writing to the Contractor indicating the decision and reasons therefore. If the Contractor disagrees with the Branch Chief's decision, the Contractor may appeal to the second level.
  - (2) When appealing to the second level, the Contractor must prepare an appeal indicating the reasons for disagreement with Branch Chief's decision. The Contractor shall include with the appeal a copy of the Contractor's original statement of dispute along with any supporting evidence and a copy of the Branch Chief's decision. The appeal shall be addressed to the Deputy Director of the division in which the branch is organized within ten (10) working days from receipt of the Branch Chief's decision. The Deputy Director of the division in which the branch is organized or his/her designee shall meet with the Contractor to review the issues raised. A written decision signed by the Deputy Director of the division in which the branch is organized or his/her designee shall be directed to the Contractor within twenty (20) working days of receipt of the Contractor's second level appeal.
- b. If the Contractor wishes to appeal the decision of the Deputy Director of the division in which the branch is organized or his/her designee, the Contractor shall follow the procedures set forth in Division 25.1 (commencing with Section 38050) of the Health and Safety Code and the regulations adopted thereunder. (Title 1, Subchapter 2.5, commencing with Section 251, California Code of Regulations.)

- c. Disputes arising out of an audit, examination of an agreement or other action not covered by subdivision (a) of Section 20204, of Chapter 2.1, Title 22, of the California Code of Regulations, and for which no procedures for appeal are provided in statute, regulation or the agreement, shall be handled in accordance with the procedures identified in Sections 51016 through 51047, Title 22, California Code of Regulations.
- d. Unless otherwise stipulated in writing by CDHS, all dispute, grievance and/or appeal correspondence shall be directed to the CDHS program contract manager.
- e. There are organizational differences within CDHS' funding programs and the management levels identified in this dispute resolution provision may not apply in every contractual situation. When a grievance is received and organizational differences exist, the Contractor shall be notified in writing by the CDHS program contract manager of the level, name, and/or title of the appropriate management official that is responsible for issuing a decision at a given level.

#### 16. Financial and Compliance Audit Requirements

- a. The definitions used in this provision are contained in Section 38040 of the Health and Safety Code, which by this reference is made a part hereof.
- b. Direct service contract means a contract for services contained in local assistance or subvention programs or both (see Health and Safety [H&S] Code section 38020). Direct service contracts shall not include contracts, grants, or subventions to other governmental agencies or units of government nor contracts with regional centers or area agencies on aging (H&S Code section 38030).
- c. The Contractor, as indicated below, agrees to obtain one of the following audits:
  - (1) If the Contractor is a nonprofit organization (as defined in H&S Code section 38040) and receives \$25,000 or more from any State agency under a direct service contract; the Contractor agrees to obtain an annual single, organization wide, financial and compliance audit. Said audit shall be conducted according to Generally Accepted Auditing Standards. This audit does not fulfill the audit requirements of Paragraph c(3) below. The audit shall be completed by the 15th day of the fifth month following the end of the Contractor's fiscal year, **and/or**
  - (2) If the Contractor is a nonprofit organization (as defined in H&S Code section 38040) and receives less than \$25,000 per year from any State agency under a direct service contract; the Contractor agrees to obtain a biennial single, organization wide financial and compliance audit, unless there is evidence of fraud or other violation of state law in connection with this agreement. This audit does not fulfill the audit requirements of Paragraph c(3) below. The audit shall be completed by the 15th day of the fifth month following the end of the Contractor's fiscal year, **and/or**
  - (3) If the Contractor is a State or Local Government entity or Nonprofit organization (as defined by the Federal Office of Management and Budget [OMB] Circular A-133) and expends \$500,000 or more in Federal awards, the Contractor agrees to obtain an annual single, organization wide, financial and compliance audit according to the requirements specified in OMB Circular A-133 entitled "Audits of States, Local Governments, and Non-Profit Organizations". An audit conducted pursuant to this provision will fulfill the audit requirements outlined in Paragraphs c(1) and c(2) above. The audit shall be completed by the end of the ninth month following the end of the audit period. The requirements of this provision apply if:
    - (a) The Contractor is a recipient expending Federal awards received directly from Federal awarding agencies, or
    - (b) The Contractor is a subrecipient expending Federal awards received from a pass-through entity such as the State, County or community based organization.
  - (4) If the Contractor submits to CDHS a report of an audit other than an OMB A-133 audit, the Contractor must also submit a certification indicating the Contractor has not expended

\$500,000 or more in federal funds for the year covered by the audit report.

- d. Two copies of the audit report shall be delivered to the CDHS program funding this agreement. The audit report must identify the Contractor's legal name and the number assigned to this agreement. The audit report shall be due within 30 days after the completion of the audit. Upon receipt of said audit report, the CDHS program contract manager shall forward the audit report to CDHS' Audits and Investigations Unit if the audit report was submitted under Section 16.c(3), unless the audit report is from a City, County, or Special District within the State of California whereby the report will be retained by the funding program.
- e. The cost of the audits described herein may be included in the funding for this agreement up to the proportionate amount this agreement represents of the Contractor's total revenue. The CDHS program funding this agreement must provide advance written approval of the specific amount allowed for said audit expenses.
- f. The State or its authorized designee, including the Bureau of State Audits, is responsible for conducting agreement performance audits which are not financial and compliance audits. Performance audits are defined by Generally Accepted Government Auditing Standards.
- g. Nothing in this agreement limits the State's responsibility or authority to enforce State law or regulations, procedures, or reporting requirements arising thereto.
- h. Nothing in this provision limits the authority of the State to make audits of this agreement, provided however, that if independent audits arranged for by the Contractor meet Generally Accepted Governmental Auditing Standards, the State shall rely on those audits and any additional audit work and shall build upon the work already done.
- i. The State may, at its option, direct its own auditors to perform either of the audits described above. The Contractor will be given advance written notification, if the State chooses to exercise its option to perform said audits.
- j. The Contractor shall include a clause in any agreement the Contractor enters into with the audit firm doing the single organization wide audit to provide access by the State or Federal Government to the working papers of the independent auditor who prepares the single organization wide audit for the Contractor.
- k. Federal or state auditors shall have "expanded scope auditing" authority to conduct specific program audits during the same period in which a single organization wide audit is being performed, but the audit report has not been issued. The federal or state auditors shall review and have access to the current audit work being conducted and will not apply any testing or review procedures which have not been satisfied by previous audit work that has been completed.

The term "expanded scope auditing" is applied and defined in the U.S. General Accounting Office (GAO) issued Standards for *Audit of Government Organizations, Programs, Activities and Functions*, better known as the "yellow book".

**17. Human Subjects Use Requirements**

(Applicable only to federally funded agreements/grants in which performance, directly or through a subcontract/subaward, includes any tests or examination of materials derived from the human body.)

By signing this agreement, Contractor agrees that if any performance under this agreement or any subcontract or subagreement includes any tests or examination of materials derived from the human body for the purpose of providing information, diagnosis, prevention, treatment or assessment of disease, impairment, or health of a human being, all locations at which such examinations are performed shall meet the requirements of 42 U.S.C. Section 263a (CLIA) and the regulations thereunder.

**18. Novation Requirements**

If the Contractor proposes any novation agreement, CDHS shall act upon the proposal within 60 days after receipt of the written proposal. CDHS may review and consider the proposal, consult and negotiate with the Contractor, and accept or reject all or part of the proposal. Acceptance or rejection of the proposal may be made orally within the 60-day period and confirmed in writing within five days of said decision. Upon written acceptance of the proposal, CDHS will initiate an amendment to this agreement to formally implement the approved proposal.

**19. Debarment and Suspension Certification**

(Applicable to all agreements funded in part or whole with federal funds.)

- a. By signing this agreement, the Contractor/Grantee agrees to comply with applicable federal suspension and debarment regulations including, but not limited to 7 CFR Part 3017, 45 CFR 76, 40 CFR 32 or 34 CFR 85.
- b. By signing this agreement, the Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency;
  - (2) Have not within a three-year period preceding this application/proposal/agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in Paragraph b(2) herein; and
  - (4) Have not within a three-year period preceding this application/proposal/agreement had one or more public transactions (Federal, State or local) terminated for cause or default.
  - (5) Shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under federal regulations (i.e., 48 CFR part 9, subpart 9.4), debarred, suspended, declared ineligible, or voluntarily excluded from participation in such transaction, unless authorized by the State.
  - (6) Will include a clause entitled, "Debarment and Suspension Certification" that essentially sets forth the provisions herein, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- c. If the Contractor is unable to certify to any of the statements in this certification, the Contractor shall submit an explanation to the CDHS program funding this contract.

- d. The terms and definitions herein have the meanings set out in the Definitions and Coverage sections of the rules implementing Federal Executive Order 12549.
- e. If the Contractor knowingly violates this certification, in addition to other remedies available to the Federal Government, the CDHS may terminate this agreement for cause or default.

## 20. Smoke-Free Workplace Certification

(Applicable to federally funded agreements/grants and subcontracts/subawards, that provide health, day care, early childhood development services, education or library services to children under 18 directly or through local governments.)

- a. Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by federal programs either directly or through state or local governments, by federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable federal funds is Medicare or Medicaid; or facilities where WIC coupons are redeemed.
- b. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible party.
- c. By signing this agreement, Contractor or Grantee certifies that it will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The prohibitions herein are effective December 26, 1994.
- d. Contractor or Grantee further agrees that it will insert this certification into any subawards (subcontracts or subgrants) entered into that provide for children's services as described in the Act.

## 21. Covenant Against Contingent Fees

(Applicable only to federally funded agreements.)

The Contractor warrants that no person or selling agency has been employed or retained to solicit/secure this agreement upon an agreement of understanding for a commission, percentage, brokerage, or contingent fee, except *bona fide* employees or *bona fide* established commercial or selling agencies retained by the Contractor for the purpose of securing business. For breach or violation of this warranty, CDHS shall have the right to annul this agreement without liability or in its discretion to deduct from the agreement price or consideration, or otherwise recover, the full amount of such commission, percentage, and brokerage or contingent fee.

## 22. Payment Withholds

(Applicable only if a final report is required by this agreement. Not applicable to government entities.)

Unless waived or otherwise stipulated in this contract, CDHS may, at its discretion, withhold 10 percent (10%) of the face amount of the agreement, 50 percent (50%) of the final invoice, or \$3,000 whichever is greater, until CDHS receives a final report that meets the terms, conditions and/or scope of work requirements of this agreement.

**23. Performance Evaluation**

(Not applicable to grant agreements.)

CDHS may, at its discretion, evaluate the performance of the Contractor at the conclusion of this agreement. If performance is evaluated, the evaluation shall not be a public record and shall remain on file with CDHS. Negative performance evaluations may be considered by CDHS prior to making future contract awards.

**24. Officials Not to Benefit**

No members of or delegate of Congress or the State Legislature shall be admitted to any share or part of this agreement, or to any benefit that may arise therefrom. This provision shall not be construed to extend to this agreement if made with a corporation for its general benefits.

**25. Four-Digit Date Compliance**

Contractor warrants that it will provide only Four-Digit Date Compliant (as defined below) Deliverables and/or services to the State. "Four Digit Date compliant" Deliverables and services can accurately process, calculate, compare, and sequence date data, including without limitation date data arising out of or relating to leap years and changes in centuries. This warranty and representation is subject to the warranty terms and conditions of this Contract and does not limit the generality of warranty obligations set forth elsewhere herein.

**26. Prohibited Use of State Funds for Software**

(Applicable to agreements in which computer software is used in performance of the work.)

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.

**27. Use of Small, Minority Owned and Women's Businesses**

(Applicable to that portion of an agreement that is federally funded and entered into with institutions of higher education, hospitals, nonprofit organizations or commercial businesses.)

Positive efforts shall be made to use small businesses, minority-owned firms and women's business enterprises, whenever possible (i.e., procurement of goods and/or services). Contractors shall take all of the following steps to further this goal.

- (1) Ensure that small businesses, minority-owned firms, and women's business enterprises are used to the fullest extent practicable.
- (2) Make information on forthcoming purchasing and contracting opportunities available and arrange time frames for purchases and contracts to encourage and facilitate participation by small businesses, minority-owned firms, and women's business enterprises.
- (3) Consider in the contract process whether firms competing for larger contracts intend to subcontract with small businesses, minority-owned firms, and women's business enterprises.
- (4) Encourage contracting with consortiums of small businesses, minority-owned firms and women's business enterprises when a contract is too large for one of these firms to handle individually.
- (5) Use the services and assistance, as appropriate, of such organizations as the Federal Small Business Administration and the U.S. Department of Commerce's Minority Business Development Agency in the solicitation and utilization of small businesses, minority-owned firms and women's business enterprises.

**28. Alien Ineligibility Certification**

(Applicable to sole proprietors entering federally funded agreements.)

By signing this agreement, the Contractor certifies that he/she is not an alien that is ineligible for state and local benefits, as defined in Subtitle B of the Personal Responsibility and Work Opportunity Act. (8 U.S.C. 1601, et seq.)

## **29. Union Organizing**

(Applicable only to grant agreements.)

Grantee, by signing this agreement, hereby acknowledges the applicability of Government Code 16645 through 16649 to this agreement. Furthermore, Grantee, by signing this agreement, hereby certifies that:

- a. No state funds disbursed by this grant will be used to assist, promote or deter union organizing.
- b. Grantee shall account for state funds disbursed for a specific expenditure by this grant, to show those funds were allocated to that expenditure.
- c. Grantee shall, where state funds are not designated as described in b herein, allocate, on a pro-rata basis, all disbursements that support the grant program.
- d. If Grantee makes expenditures to assist, promote or deter union organizing, Grantee will maintain records sufficient to show that no state funds were used for those expenditures, and that Grantee shall provide those records to the Attorney General upon request.

## **30. Contract Uniformity (Fringe Benefit Allowability)**

(Applicable only to nonprofit organizations.)

Pursuant to the provisions of Article 7 (commencing with Section 100525) of Chapter 3 of Part 1 of Division 101 of the Health and Safety Code, CDHS sets forth the following policies, procedures, and guidelines regarding the reimbursement of fringe benefits.

- a. As used herein fringe benefits shall mean an employment benefit given by one's employer to an employee in addition to one's regular or normal wages or salary.
- b. As used herein, fringe benefits do not include:
  - (1) Compensation for personal services paid currently or accrued by the Contractor for services of employees rendered during the term of this agreement, which is identified as regular or normal salaries and wages, annual leave, vacation, sick leave, holidays, jury duty and/or military leave/training.
  - (2) Director's and executive committee member's fees.
  - (3) Incentive awards and/or bonus incentive pay.
  - (4) Allowances for off-site pay.
  - (5) Location allowances.
  - (6) Hardship pay.
  - (7) Cost-of-living differentials
- c. Specific allowable fringe benefits include:
  - (1) Fringe benefits in the form of employer contributions for the employer's portion of payroll taxes (i.e., FICA, SUI, SDI), employee health plans (i.e., health, dental and vision), unemployment insurance, worker's compensation insurance, and the employer's share of pension/retirement plans, provided they are granted in accordance with established written organization policies and meet all legal and Internal Revenue Service requirements.
- d. To be an allowable fringe benefit, the cost must meet the following criteria:
  - (1) Be necessary and reasonable for the performance of the agreement.

- (2) Be determined in accordance with generally accepted accounting principles.
  - (3) Be consistent with policies that apply uniformly to all activities of the Contractor.
- e. Contractor agrees that all fringe benefits shall be at actual cost.
- f. Earned/Accrued Compensation
- (1) Compensation for vacation, sick leave and holidays is limited to that amount earned/accrued within the agreement term. Unused vacation, sick leave and holidays earned from periods prior to the agreement term cannot be claimed as allowable costs. See Provision f (3)(a) for an example.
  - (2) For multiple year contracts, vacation and sick leave compensation, which is earned/accrued but not paid, due to employee(s) not taking time off may be carried over and claimed within the overall term of the multiple years of the agreement. Holidays cannot be carried over from one contract year to the next. See Provision f (3)(b) for an example.
  - (3) For single year agreements, vacation, sick leave and holiday compensation that is earned/accrued but not paid, due to employee(s) not taking time off within the term of the agreement, cannot be claimed as an allowable cost. See Provision f (3)(c) for an example.
- (a) **Example No. 1:**
- If an employee, John Doe, earns/accrues three weeks of vacation and twelve days of sick leave each year, then that is the maximum amount that may be claimed during a contract period of one year. If John Doe has five weeks of vacation and eighteen days of sick leave at the beginning of the agreement, the Contractor during a one-year agreement term may only claim up to three weeks of vacation and twelve days of sick leave actually used by the employee. Amounts earned/accrued in periods prior to the beginning of the agreement are not an allowable cost.
- (b) **Example No. 2:**
- If during a three-year (multiple year) agreement, John Doe does not use his three weeks of vacation in year one, or his three weeks in year two, but he does actually use nine weeks in year three; the Contractor would be allowed to claim all nine weeks paid for in year three. The total compensation over the three-year period cannot exceed 156 weeks (3 x 52 weeks).
- (c) **Example No. 3:**
- If during a single year agreement, John Doe works fifty weeks and used one week of vacation and one week of sick leave and all fifty-two weeks have been billed to CDHS, the remaining unused two weeks of vacation and seven days of sick leave may not be claimed as an allowable cost.



**31. Lobbying Restrictions and Disclosure Certification**

(Applicable to federally funded contracts in excess of \$100,000 per Section 1352 of the 31, U.S.C.)

**a. Certification and Disclosure Requirements**

- (1) Each person (or recipient) who requests or receives a contract, subcontract, grant, or subgrant, which is subject to Section 1352 of the 31, U.S.C., and which exceeds \$100,000 at any tier, shall file a certification (in the form set forth in Attachment 1, consisting of one page, entitled "Certification Regarding Lobbying") that the recipient has not made, and will not make, any payment prohibited by Paragraph b of this provision.
- (2) Each recipient shall file a disclosure (in the form set forth in Attachment 2, entitled "Standard Form-LLL 'disclosure of Lobbying Activities'") if such recipient has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered federal action) in connection with a contract or grant or any extension or amendment of that contract or grant, which would be prohibited under Paragraph b of this provision if paid for with appropriated funds.
- (3) Each recipient shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affect the accuracy of the information contained in any disclosure form previously filed by such person under Paragraph a(2) herein. An event that materially affects the accuracy of the information reported includes:
  - (a) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered federal action;
  - (b) A change in the person(s) or individuals(s) influencing or attempting to influence a covered federal action; or
  - (c) A change in the officer(s), employee(s), or member(s) contacted for the purpose of influencing or attempting to influence a covered federal action.
- (4) Each person (or recipient) who requests or receives from a person referred to in Paragraph a(1) of this provision a contract, subcontract, grant or subgrant exceeding \$100,000 at any tier under a contract or grant shall file a certification, and a disclosure form, if required, to the next tier above.
- (5) All disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the person referred to in Paragraph a(1) of this provision. That person shall forward all disclosure forms to CDHS program contract manager.

**b. Prohibition**

Section 1352 of Title 31, U.S.C., provides in part that no appropriated funds may be expended by the recipient of a federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered federal actions: the awarding of any federal contract, the making of any federal grant, the making of any federal loan, entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

## Attachment 1

STATE OF CALIFORNIA  
DEPARTMENT OF HEALTH SERVICES

## CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making, awarding or entering into of this Federal contract, Federal grant, or cooperative agreement, and the extension, continuation, renewal, amendment, or modification of this Federal contract, grant, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency of the United States Government, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities" in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontractors, subgrants, and contracts under grants and cooperative agreements) of \$100,000 or more, and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S.C., any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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Name of Contractor

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Printed Name of Person Signing for Contractor

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Contract / Grant Number

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Signature of Person Signing for Contractor

---

Date

---

Title

After execution by or on behalf of Contractor, please return to:

California Department of Health Services  
(Name of the CDHS program providing the funds)  
(Program's Street Address, Room Number, and MS Code)  
P.O. Box 997413  
Sacramento, CA 95899-7413

**CERTIFICATION REGARDING LOBBYING**

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure)

Approved by OMB

0348-0046

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: Year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b>  <div style="display: flex; justify-content: space-between;"> <span>Prime</span> <span>Subawardee</span> </div> <div style="display: flex; justify-content: space-between;"> <span></span> <span>Tier _____, if known:</span> </div> Congressional District, If known: _____		<b>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</b>  Congressional District, If known: _____
<b>6. Federal Department/Agency:</b> _____		<b>7. Federal Program Name/Description:</b>  CDFA Number, if applicable: _____
<b>8. Federal Action Number, if known:</b> _____		<b>9. Award Amount, if known:</b> _____
<b>10. a. Name and Address of Lobbying Entity</b> (If individual, last name, first name, MI):  _____ (attach Continuation Sheet(s) SF-LLL-A, If necessary)		<b>b. Name and Address of Lobbying Entity</b> (If individual, last name, first name, MI):  _____
<b>11. Amount of Payment (check all that apply):</b> \$ _____ actual _____ planned	<b>13. Type of Payment (check all that apply):</b> <input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other, specify: _____	
<b>12. Form of Payment (check all that apply):</b> <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind, specify: Nature _____ Value _____		
<b>14. Brief Description of Services Performed or to be Performed and Dates(s) of Service, including Officer(s), Employee(s), or Member(s) Contracted for Payment indicated in item 11:</b>  _____ (Attach Continuation Sheet(s) SF-LLL-A, If necessary)		
<b>15. Continuation Sheet(s) SF-LLL-A Attached:</b> Yes _____ No _____		
<b>16. Information requested through this form is authorized by Title 31, U.S.C., Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to Title 31, U.S.C., Section 1352. This information will be reported to the Congress semiannually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$19,000 and not more than \$100,000 for each such failure.</b>		Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____
<b>Federal Use Only</b>		Authorized for Local Reproduction Standard Form-LLL

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime federal recipients at the initiation or receipt of a covered federal action, or a material change to a previous filing, pursuant to Title 31, U.S.C., Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered federal action. Use the SF - LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered federal action.
2. Identify the status of the covered federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered federal action.
4. Enter the full name, address, city, state, and ZIP code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1<sup>st</sup> tier. Subawards include but are not limited to subcontracts, subgrants, and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee," then enter the full name, address, city, state, and ZIP code of the prime federal recipient. Include Congressional District, if known.
6. Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation United States Coast Guard.
7. Enter the federal program name or description for the covered federal action (Item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate federal identifying number available for the federal action identified in Item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract grant, or loan award number; the application/proposal control number assigned by the federal agency). Include prefixes, e.g., "RFP-DE-90401."
9. For a covered federal action where there has been an award or loan commitment by the federal agency, enter the federal amount of the award/loan commitment for the prime entity identified in Item 4 or 5.
10. (a) Enter the full name, address, city, state, and ZIP code of the lobbying entity engaged by the reporting entity identified in Item 4 to influence the covered federal action.
10. (b) Enter the full names of the individual(s) performing services and include full address if different from 10.(a). Enter last name, first name, and middle initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (Item 4) to the lobbying entity (Item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with federal officials, identify the federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instruction, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to the Office of Management and Budget, Paperwork Reduction Project, (0348-0046), Washington, DC 20503.

**Exhibit E**  
**Additional Provisions**

**1. Contract Amendments**

Should either party, during the term of this agreement, desire a change or amendment to the terms of this Agreement, such changes or amendments shall be proposed in writing to the other party, who will respond in writing as to whether the proposed changes/amendments are accepted or rejected. If accepted and after negotiations are concluded, the agreed upon changes shall be made through the State's official agreement amendment process. No amendment will be considered binding on either party until it is formally approved by the State.

**2. Cancellation / Termination**

- A. This agreement may be cancelled or terminated without cause by either party by giving thirty (30) calendar days advance written notice to the other party. Such notification shall state the effective date of termination or cancellation and include any final performance and/or payment/invoicing instructions/requirements.
- B. Upon receipt of a notice of termination or cancellation from CDHS, Contractor shall take immediate steps to stop performance and to cancel or reduce subsequent contract costs.
- C. Contractor shall be entitled to payment for all allowable costs authorized under this agreement, including authorized non-cancelable obligations incurred prior to receipt of the notice of termination or cancellation, provided such expenses do not exceed the stated maximum amounts payable.

**3. Freeze Exemptions**

(Applicable only to local government agencies.)

- A. Contractor agrees that any hiring freeze adopted during the term of this contract shall not be applied to the positions funded, in whole or part, by this contract.
- B. Contractor agrees not to implement any personnel policy, which may adversely affect performance or the positions funded, in whole or part, by this contract.
- C. Contractor agrees that any travel freeze or travel limitation policy adopted during the term of this contract shall not restrict travel funded, in whole or part, by this contract.
- D. Contractor agrees that any purchasing freeze or purchase limitation policy adopted during the term of this contract shall not restrict or limit purchases funded, in whole or part, by this contract

## Contractor's Release

### Instructions to Contractor:

With final invoice(s) submit one (1) original and one (1) copy. The original must bear the original signature of a person authorized to bind the Contractor. The additional copy may bear photocopied signatures.

### Submission of Final Invoice

Pursuant to contract number 06-55236 entered into between the State of California Department of Health Services (CDHS) and the Contractor (identified below), the Contractor does acknowledge that final payment has been requested via invoice number(s) \_\_\_\_\_, in the amount(s) of \$ \_\_\_\_\_ and dated \_\_\_\_\_.  
If necessary, enter "See Attached" in the appropriate blocks and attach a list of invoice numbers, dollar amounts and invoice dates.

### Release of all Obligations

By signing this form, and upon receipt of the amount specified in the invoice number(s) referenced above, the Contractor does hereby release and discharge the State, its officers, agents and employees of and from any and all liabilities, obligations, claims, and demands whatsoever arising from the above referenced contract.

### Repayments Due to Audit Exceptions / Record Retention

By signing this form, Contractor acknowledges that expenses authorized for reimbursement does not guarantee final allowability of said expenses. Contractor agrees that the amount of any sustained audit exceptions resulting from any subsequent audit made after final payment will be refunded to the State.

All expense and accounting records related to the above referenced contract must be maintained for audit purposes for no less than three years beyond the date of final payment, unless a longer term is stated in said contract.

### Recycled Product Use Certification

By signing this form, Contractor certifies under penalty of perjury that a minimum of 0% unless otherwise specified in writing of post consumer material, as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether it meets the requirements of Public Contract Code Section 12209. Contractor specifies that printer or duplication cartridges offered or sold to the State comply with the requirements of Section 12156(e).

### Reminder to Return State Equipment/Property (If Applicable)

(Applies only if equipment was provided by CDHS or purchased with or reimbursed by contract funds)

Unless CDHS has approved the continued use and possession of State equipment (as defined in the above referenced contract) for use in connection with another CDHS agreement, Contractor agrees to promptly initiate arrangements to account for and return said equipment to CDHS, at CDHS's expense, if said equipment has not passed its useful life expectancy as defined in the above referenced contract.

### Patents / Other Issues

By signing this form, Contractor further agrees, in connection with patent matters and with any claims that are not specifically released as set forth above, that it will comply with all of the provisions contained in the above referenced contract, including, but not limited to, those provisions relating to notification to the State and related to the defense or prosecution of litigation.

ONLY SIGN AND DATE THIS DOCUMENT WHEN ATTACHING TO THE FINAL INVOICE

Contractor's Legal Name (as on contract): County of Los Angeles (Department of Health Services)

Signature of Contractor or Official Designee: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name/Title of Person Signing: \_\_\_\_\_

CDHS Distribution: Accounting (Original) Program

## Travel Reimbursement Information

1. The following rate policy is to be applied for reimbursing the travel expenses of persons under contract. The terms "contract" and/or "subcontract" have the same meaning as "grantee" and/or "subgrantee" where applicable.
  - a. Reimbursement for travel and/or per diem shall be at the rates established for nonrepresented/excluded state employees. Exceptions to *Department of Personnel Administration* (DPA) lodging rates may be approved by *CDHS* upon the receipt of a statement on/with an invoice indicating that such rates are not available.
  - b. Short Term Travel is defined as a 24-hour period, and less than 31 consecutive days, and is at least 50 miles from the main office, headquarters or primary residence. Starting time is whenever a contract or subcontract employee leaves his or her home or headquarters. "Headquarters" is defined as the place where the contracted personnel spends the largest portion of their working time and returns to upon the completion of assignments. Headquarters may be individually established for each traveler and approved verbally or in writing by the program funding the agreement. Verbal approval shall be followed up in writing or email.
  - c. Contractors on travel status for more than one 24-hour period and less than 31 consecutive days may claim a fractional part of a period of more than 24 hours. Consult the chart appearing on page 2 of this exhibit to determine the reimbursement allowance. All lodging reimbursement claims must be supported by a receipt\*. If a contractor does not or cannot present receipts, lodging expenses will not be reimbursed.

(1) Lodging (with receipts\*):

Travel Location / Area	Reimbursement Rate
Statewide (excluding the counties identified below)	\$ 84.00 plus tax
Counties of Los Angeles and San Diego	\$110.00 plus tax
Counties of Alameda, San Francisco, San Mateo, and Santa Clara	\$140.00 plus tax

Reimbursement for actual lodging expenses that exceed the above amounts may be allowed with the advance approval of the Deputy Director of the *California* Department of Health Service or his or her designee. Receipts are required.

\*Receipts from Internet lodging reservation services such as Priceline.com which require prepayment for that service, ARE NOT ACCEPTABLE LODGING RECEIPTS and are not reimbursable without a valid lodging receipt from a lodging establishment.

- (2) Meal/Supplemental Expenses (with or without receipts): With receipts, the contractor will be reimbursed actual amounts spent up to the maximum for each full 24-hour period of travel.

Meal / Expense	Reimbursement Rate
Breakfast	\$ 6.00
Lunch	\$ 10.00
Dinner	\$ 18.00
Incidental expenses	\$ 6.00

- d. Out-of-state travel may only be reimbursed if such travel is necessitated by the scope or statement of work and has been approved in advance by the program with which the contract is held. For out-of-state travel, contractors may be reimbursed actual lodging expenses, supported by a receipt, and may be reimbursed for meals and supplemental expenses for each 24-hour period computed at the rates listed in c. (2) above. For all out-of-state travel, contractors/subcontractors must have prior *CDHS* written or verbal approval. Verbal approval shall be confirmed in writing (email or memo).
- e. In computing allowances for continuous periods of travel of less than 24 hours, consult the chart appearing on page 2 of this exhibit.
- f. No meal or lodging expenses will be reimbursed for any period of travel that occurs within normal working hours, unless expenses are incurred at least 50 miles from headquarters.

## Travel Reimbursement Information

Exhibit G (Continued)

2. If any of the reimbursement rates stated herein are changed by the DPA, no formal contract amendment will be required to incorporate the new rates. However, CDHS shall inform the contractor, in writing, of the revised travel reimbursement rates.
3. For transportation expenses, the contractor must retain receipts for parking; taxi, airline, bus, or rail tickets; car rental; or any other travel receipts pertaining to each trip for attachment to an invoice as substantiation for reimbursement. Reimbursement may be requested for commercial carrier fares; private car mileage; parking fees; bridge tolls; taxi, bus, or streetcar fares; and auto rental fees when substantiated by a receipt.
4. **Note on use of autos:** If a contractor uses his/her or a company car for transportation, the rate of reimbursement will be **34 cents** maximum per mile. If the contractor is a person with a disability who must operate a motor vehicle on official state business and who can operate only specially equipped or modified vehicles they may claim a rate of **37 cents** per mile. If a contractor uses his/her or a company car "in lieu of" airfare, the air coach fare will be the maximum paid by the State. The contractor must provide a cost comparison upon request by the State. Gasoline and routine automobile repair expenses are not reimbursable.
5. The contractor is required to furnish details surrounding each period of travel. Travel expense reimbursement detail may include, but not be limited to: purpose of travel, departure and return times, destination points, miles driven, mode of transportation, etc. Reimbursement for travel expenses may be withheld pending receipt of adequate travel documentation.
6. Contractors are to consult with the program with which the contract is held to obtain specific invoicing procedures.

### Travel Reimbursement Guide

Length of travel period	This condition exists...	Allowable Meal(s)
Less than 24 hours	Travel begins at 6:00 a.m. or earlier and continues until 9:00 a.m. or later.	Breakfast
Less than 24 hours	<ul style="list-style-type: none"> <li>Travel period ends at least one hour after the regularly scheduled workday ends, or</li> <li>Travel period begins prior to or at 4:00 p.m. and continues beyond 7:00 p.m.</li> </ul>	Dinner
24 hours	Travel period is a full 24-hour period determined by the time that the travel period begins and ends.	Breakfast, lunch, and dinner
Last fractional part of more than 24 hours	Travel period is more than 24 hours and traveler returns at or after 8:00 a.m.	Breakfast
	Travel period is more than 24 hours and traveler returns at or after 2:00 p.m.	Lunch
	Travel period is more than 24 hours and traveler returns at or after 7:00 p.m.	Dinner

7. At CDHS' discretion, changes or revisions made by CDHS to this exhibit, excluding travel policy established by DPA may be applied retroactively to any agreement to which a Travel Reimbursement Information exhibit is attached, incorporated by reference, or applied by CDHS program policy.



EXHIBIT II

Contract No. H-700220

LOS ANGELES IMMUNIZATION NETWORK

AMENDMENT NO. 4

THIS AMENDMENT is made and entered into this \_\_\_\_\_ day  
of \_\_\_\_\_, 2006,

by and between

COUNTY OF LOS ANGELES (here-  
after "COUNTY"),

and

PASADENA PUBLIC HEALTH  
DEPARTMENT (hereafter  
"Contractor")

WHEREAS, reference is made to that certain document entitled  
"LOS ANGELES-ORANGE IMMUNIZATION NETWORK SERVICES AGREEMENT",  
dated February 10, 2004, further identified as County Agreement  
No. H-700220 and any amendments thereto, (all hereafter  
"Agreement") between County and Contractor; and

WHEREAS, it is the intent of the parties hereto to amend the  
Agreement to adjust funding for the current term or Fiscal Year  
2006-2007 and to renew the term of the agreement through  
June 30, 2008, contingent upon County's receipt of funds from the  
State for such a term; and

WHEREAS, County has received State's funds for the period of  
July 1, 2006 through June 30, 2007 and desires that Contractor  
continue to provide services as required by the State; and

WHEREAS, said Agreement provides that changes may be made in

the form of a written amendment which is formally approved and executed by the parties.

WHEREAS, the term "Director" as used herein refers to the Director of County's Department of Public Health, or his authorized designee (hereafter jointly referred to as "Director").

NOW, THEREFORE, the parties hereby agree as follows:

1. This amendment shall be effective on the date of approval by the County of Los Angeles Board of Supervisors ("Board"), and shall remain in full force and effect to and including June 30, 2008.

2. Effective the effective date of this Amendment, Exhibit A-4 "Pasadena Public Health Department - Scope of Work" shall be replaced by Exhibit A-4-1 "Pasadena Public Health Department - Scope of Work July 1, 2006 to June 30, 2007. Schedule I-4 shall be replaced by Schedule I-4-1 "Immunization Program Fiscal Budget - Fiscal Year 2006-2007 "

3. Paragraph 1, TERM shall be amended to read as follows:

"1. TERM: This Agreement shall commence on February 10, 2004 and shall remain in full force and effect to, and including June 30, 2007. This Agreement shall be thereafter automatically renewed for an additional twelve (12) months, effective July 1, 2007 through June 30, 2008, subject to County notifying Contractor of the availability of State funding to County for that Fiscal Year. If such State funding is not

forthcoming, the parties agree that this Agreement shall be deemed terminated as of June 30, 2007.

If for any reason the State grant which funds this Agreement is terminated or reduced, County shall have the right to immediately terminate this Agreement in whole or in part. Notice of such termination shall be served upon Contractor in writing. In any event, either party may terminate this Agreement with or without cause, upon giving of at least thirty (30) calendar days' advance written notice to the other.

Notwithstanding any other provision of this Paragraph, the failure of Contractor or its officers, employees, or agents to comply with any of the terms of this Agreement shall constitute a material breach hereof and the Agreement may be terminated by County immediately. County's failure to exercise this right of termination shall not constitute a waiver of such right, which may be exercised at any subsequent time."

4. Paragraph 2, DESCRIPTION OF SERVICES shall be amended to read as follows:

"2. DESCRIPTION OF SERVICES: Contractor shall provide services in the form as described in the body of the referred Agreement, and in Exhibit A-4-1 'Pasadena Public Health Department - Scope of Work, July 1, 2006 to June 30, 2007', and Schedule I-4-1 'Immunization Program Fiscal

Budget - Fiscal year 2006-2007', attached hereto and incorporated herein by reference, and in Exhibit B `Pasadena Public Health Department - Scope of Work, July 1, 2007 to June 30, 2008', and Schedule B-I `Immunization Program Fiscal Budget - Fiscal year 2007-2008', attached hereto and incorporated herein by reference"

5. Paragraph 3, MAXIMUM OBLIGATION OF COUNTY, shall be amended to read as follows:

"3. MAXIMUM OBLIGATION OF COUNTY: From July 1, 2006 through June 30, 2007, the maximum obligation of County for Contractor's performance hereunder is Sixty Six Thousand Nine Hundred Seventy-Five Dollars (\$66,975) as set forth in Schedule A-I `Immunization Program Budget Fiscal Year 2006-2007", attached hereto and incorporated herein by reference.

The parties agree that, if this Agreement is renewed for the term of July 1, 2007 through June 30, 2008, the maximum obligation for Contractor's performance herein will be Sixty Six Thousand Nine Hundred Seventy-Five Dollars (\$66,975) as set forth in Schedule B-I `Immunization Program Budget - Fiscal Year 2007-08', attached hereto and incorporated herein by reference.

Contractor shall use such funds only to pay for expenditure categories (i.e., Operating Expenses), as set forth in the Exhibit(s) and Schedule(s), attached hereto, and County shall be obligated to pay Contractor only to the

extent that such funds are reimbursable to County from the State."

6. Paragraph 4. BILLING AND PAYMENT, shall be revised to read as follows:

"4. BILLING AND PAYMENT: County shall compensate Contractor for actual reimbursable net costs incurred by Contractor in performing services hereunder.

A. County agrees to compensate Contractor in accordance with Schedule I-4-1 and Schedule B-I attached hereto.

B. Contractor shall bill County monthly in arrears. Contractor shall submit all invoices in duplicate and clearly reflect all required information as specified on such invoices and/or forms as may be furnished or required by County. Such invoices shall detail actual reimbursable costs incurred by Contractor in accordance with Schedule I-4-1 and Schedule B-I, attached hereto. Each original invoice shall be approved and signed by Contractor's duly authorized designee. Original invoices shall be submitted to: Los Angeles County Immunization Program Office; 3530 Wilshire Boulevard, Suite 700; Los Angeles, California 90010; Attention: Contract Manager, with duplicate invoice to: Public Health Finance; 5555 Ferguson Drive, 1st Floor; City of Commerce, California 90022,

Attention: Contracts and Grants Unit; no later than fifteen (15) days after the end of each calendar month. After receipt of a correct and accurate billing, County shall pay Contractor in accordance with its customary accounts payable procedures.

C. County Audit Settlements:

(1) If an audit conducted by federal, State, and/or County representatives finds that actual reimbursable net costs for any services furnished hereunder are lower than the payments made thereof by County, and/or if it is determined by such audit that any payments made by County for a particular service is for costs which are not reimbursable pursuant to provisions of this Agreement, then the difference shall be repaid by Contractor.

(2) If within forty-five (45) calendar days of termination of the contract period, such audit finds that the allowable costs of services furnished hereunder are higher than the payments made by County, then the difference may be paid to Contractor.

D. In no event shall County be required to reimburse Contractor for those costs of services provided hereunder which are covered by revenue from or

on behalf of clients/patients or which are covered by funding from other governmental contracts or grants.

E. In no event shall County be required to pay Contractor more for all services provided hereunder than the maximum obligation of County as set forth in the MAXIMUM OBLIGATION OF COUNTY Paragraph of this Agreement, unless otherwise revised or amended under the terms of this Agreement.

F. Prior authorization, in writing, shall be required to claim reimbursement for travel outside Los Angeles County unless such expense is approved in the contract budget. Request for authorization shall be made in writing to Director and shall include the travel dates, locations, purpose/agenda, participants, and costs.

G. Withholding Payment:

(1) Subject to the reporting and data requirements of this Agreement and the Schedule(s) attached hereto, County may withhold any claim for payment by Contractor if any report or data is not delivered by Contractor to County within the time limits of submission as set forth in this Agreement, or if such report or data is incomplete in accordance with requirements set forth in this Agreement. This withholding may be invoked for any

succeeding month or months for reports or data not delivered in a complete and correct form for any given month.

(2) County may withhold any claim for payment by Contractor if Contractor has been given at least thirty (30) calendar days' notice of deficiency(ies) in compliance with the terms of this Agreement and has failed to correct such deficiency(ies). This withholding may be invoked for any succeeding month or months for deficiency(ies) not corrected.

(3) Upon acceptance by County of all report(s) and data previously not accepted under this provision and/or upon correction of the deficiency(ies) noted above, County shall reimburse all withheld payments on the next regular monthly claim for payment by Contractor.

(4) Subject to the provisions of the Schedule(s) of this Agreement, if the services are not completed by Contractor within the specified time, County may withhold all payments to Contractor under this Agreement between County and Contractor until proof of such services is delivered to County.

(5) In addition to Subparagraphs (1) through immediately above, Director may withhold claims for



payment by Contractor which are delinquent amounts due to County as determined by an audit report settlement, or financial evaluation report, resulting from this or prior years' Agreement(s).

H. Contractor agrees to reimburse County for any federal, State, or County audit exceptions resulting from noncompliance herein on the part of Contractor or any subcontractor."

7. Paragraph 9, FUNDING/SERVICES ADJUSTMENTS AND REALLOCATIONS, shall be amended to read as follows:

"9. FUNDING/SERVICES ADJUSTMENTS AND REALLOCATION:

A. If sufficient monies are appropriated from federal, State, or County funding sources, and upon Director's or his authorized designee's specific written approval, County may require additional services and pass on to Contractor an increase to the applicable County maximum obligation as payment for such services, as determined by County. For the purposes of this provision, Director's authorized designee shall be the Finance Manager, Department of Public Health. If monies are reduced by federal, State, or County funding sources, County may also decrease the applicable County maximum obligation as determined by County. Such funding changes will not be retroactive, but will apply to future services following the provision of written

notice from Director to Contractor. If such increase or decrease does not exceed twenty five percent (25%) of the applicable County maximum obligation, Director may approve such funding changes. Director shall provide prior written notice of such funding changes to Contractor and to County's Chief Administrative Officer ("CAO"). If the increase or decrease exceeds twenty five percent (25%) of the applicable County maximum obligation, approval by the County's Board of Supervisors shall be required. Any such changes in any County maximum obligation shall be effected by an amendment to this Agreement pursuant to the ALTERATION OF TERMS paragraph to this Agreement.

B. County and Contractor shall review Contractor's expenditures and commitments to utilize any funds, which are specified in this Agreement for the services hereunder and which are subject to time limitations as determined by Director, midway through each County fiscal year during the term of this Agreement, midway through the applicable time limitation period for such funds if such period is less than a County fiscal year, and/or at any other time or times during each County fiscal year as determined by Director. At least fifteen (15) days prior to each such review, Contractor shall provide Director with a current update of all of Contractor's expenditures and commitments of such

funds during such County fiscal year or other applicable time period.

If County determines from reviewing Contractor's records of service delivery and billings to County, that a significant underutilization of funds provided under this Agreement will occur over its term, the Director or County's Board of Supervisors may reduce the applicable County maximum obligation for services provided hereunder and reallocate such funds to other providers. Director may reallocate a maximum of twenty-five percent (25%) of the applicable County maximum obligation or One Hundred Thousand Dollars (\$100,000), whichever is greater. Director shall provide written notice of such reallocation to Contractor and to County's Chief Administrative Officer. Reallocation of funds in excess of the aforementioned amounts shall be approved by County's Board of Supervisors. Any change in any County maximum obligation shall be effected by an amendment to this Agreement pursuant to the ALTERATION OF TERMS paragraph of this Agreement.

8. Except for the changes set forth hereinabove, Agreement shall not be changed in any other respect by this Amendment.

In WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Amendment to be subscribed by its

/

/

Director of Public Health and CONTRACTOR has caused this  
Amendment to be subscribed in its behalf by its duly authorized  
officer, the day, month, and year first above written.

COUNTY OF LOS ANGELES

By Jonathan E. Fielding, M.D., M.P.H.  
Director and Health Officer

Pasadena Public Health Department  
Contractor

By \_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

Title \_\_\_\_\_  
(AFFIX CITY SEAL)

APPROVED AS TO FORM BY THE  
OFFICE OF COUNTY COUNSEL

APPROVED AS TO CONTRACT  
ADMINISTRATION:

Department of Public Health

By \_\_\_\_\_  
Gary Izumi, Acting Chief  
Contracts and Grants Division

JR/ImmReg-Pasadena-Am3  
06:4721-Oct, 2006

**Los Angeles Immunization Network (LINK)  
Pasadena Public Health Department - Scope of Work  
July 1, 2006 to June 30, 2007**

The contractor will achieve the following goals and objectives. Objectives are achieved by the following work plan, composed of implementation and evaluation activities. Activities are to be completed according to the stated timelines and are to be documented as specified.

Objectives	Activities	Timeline	Evaluation
Maintenance and enhancement of LINK regional Infrastructure	<ol style="list-style-type: none"> <li>1. Participate in Executive Team meetings</li> <li>2. Participate in development of regional plans, policies, procedures, forms, and materials</li> <li>3. Participate in development of annual SIIS grant application</li> <li>4. Participate in development of supplemental regional grant applications</li> <li>5. Assist in interviewing, selecting and training new LINK staff</li> </ol>	<p>As scheduled Ongoing</p> <p>Annual</p> <p>As needed</p> <p>As needed</p>	<p>Meeting minutes Meeting minutes, regional and local planning documents</p> <p>Written submission of grant content</p> <p>Written submission of grant content</p> <p>Interview logs and training documentation</p>
Recruit and deploy a minimum of 15 new providers in the Pasadena jurisdiction	<ol style="list-style-type: none"> <li>1. Conduct demonstrations for interested providers</li> <li>2. Identify potential barriers to participation and develop action plan to address barriers.</li> <li>3. Develop local deployment plans and timelines</li> <li>4. Conduct programmatic site assessments</li> <li>5. Coordinate/conduct technical site assessments</li> <li>6. Ensure providers meet all programmatic and technical requirements prior to deployment</li> <li>7. Coordinate data migration process</li> <li>8. Schedule user training</li> <li>9. Schedule deployment date and coordinate deployment activities including early disclosure and data entry support if provided</li> <li>10. Provide on-site day-of-deployment support</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing Ongoing</p> <p>Ongoing</p> <p>Ongoing Ongoing Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Monthly Report</p> <p>Monthly Report</p> <p>Programmatic Site Assessment tool Technical Site Assessment tool</p> <p>Deployment Checklists</p> <p>Data migration checklist Training calendar Deployment Calendar/Deployment Checklists</p> <p>Monthly Report</p>

Objectives	Activities	Timeline	Evaluation
Provide ongoing support to providers and conduct quality assurance	<ol style="list-style-type: none"> <li>1. Provide ongoing programmatic support to providers</li> <li>2. Assess provider and user satisfaction</li> <li>3. Formally evaluate provider progress and provide feedback a minimum of one time per year per provider</li> </ol>	<p>Ongoing</p> <p>Ongoing Ongoing</p>	<p>Monthly Report</p> <p>Satisfaction Survey Evaluation Report</p>
LINK representation	<ol style="list-style-type: none"> <li>1. Attend State SIRS Conferences</li> <li>2. Participate on SIRS Committees and local coalitions and committees</li> <li>3. Conduct presentations to community organizations and other interested groups</li> </ol>	<p>Semi-annual Ongoing</p> <p>Ongoing</p>	<p>Travel Receipts Monthly Report</p> <p>Monthly Report</p>

COUNTY OF LOS ANGELES - DEPARTMENT OF HEALTH SERVICES  
 PUBLIC HEALTH  
 IMMUNIZATION PROGRAM BUDGET - FISCAL YEAR 2006-2007  
 PASADENA PUBLIC HEALTH DEPARTMENT  
 JULY 1, 2006 through JUNE 30, 2007

<u>PERSONNEL SERVICES</u>	<u>FTE</u>	<u>HRS/ % TIME</u>	<u>MONTHLY SALARY</u>	<u>REQUESTED BUDGET</u>
Program Coordinator	1	30.0%	\$4,402 - \$6,296	17,964
Subtotal Personnel				<u>17,964</u>
Employee Benefits @			30.00%	<u>5,389</u>
<b>TOTAL PERSONNEL SERVICES</b>				23,353
<u>OPERATING EXPENSES</u>				
Services and Supplies				300
Health Education Materials				0
Travel				1,900
Subcontracts				<u>0</u>
<b>TOTAL OPERATING EXPENSES</b>				2,200
<b>TOTAL BUDGET COSTS</b>				<u><u>25,553</u></u>

**LOS ANGELES IMMUNIZATION NETWORK (LINK)  
PASADENA PUBLIC HEALTH DEPARTMENT - SCOPE OF WORK  
JULY 1, 2007 TO JUNE 30, 2008**

The contractor will achieve the following goals and objectives. Objectives are achieved by the following work plan, composed of implementation and evaluation activities. Activities are to be completed according to the stated timelines and are to be documented as specified.

Objectives	Activities	Timeline	Evaluation
Maintenance and enhancement of LINK regional Infrastructure	<ol style="list-style-type: none"> <li>1. Participate in Executive Team meetings</li> <li>2. Participate in development of regional plans, policies, procedures, forms, and materials</li> <li>3. Participate in development of annual SIIS grant application</li> <li>4. Participate in development of supplemental regional grant applications</li> <li>5. Assist in interviewing, selecting and training new LINK staff</li> </ol>	<p>As scheduled Ongoing</p> <p>Annual</p> <p>As needed</p> <p>As needed</p>	<p>Meeting minutes Meeting minutes, regional and local planning documents</p> <p>Written submission of grant content</p> <p>Written submission of grant content</p> <p>Interview logs and training documentation</p>
Recruit and deploy a minimum of 15 new providers in the Pasadena jurisdiction	<ol style="list-style-type: none"> <li>1. Conduct demonstrations for interested providers</li> <li>2. Identify potential barriers to participation and develop action plan to address barriers.</li> <li>3. Develop local deployment plans and timelines</li> <li>4. Conduct programmatic site assessments</li> <li>5. Coordinate/conduct technical site assessments</li> <li>6. Ensure providers meet all programmatic and technical requirements prior to deployment</li> <li>7. Coordinate data migration process</li> <li>8. Schedule user training</li> <li>9. Schedule deployment date and coordinate deployment activities including early disclosure and data entry support if provided</li> <li>10. Provide on-site day-of-deployment support</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing Ongoing</p> <p>Ongoing</p> <p>Ongoing Ongoing Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Monthly Report</p> <p>Monthly Report</p> <p>Programmatic Site Assessment tool Technical Site Assessment tool</p> <p>Deployment Checklists</p> <p>Data migration checklist Training calendar Deployment Calendar/Deployment Checklists</p> <p>Monthly Report</p>



Objectives	Activities	Timeline	Evaluation
Provide ongoing support to providers and conduct quality assurance	<ol style="list-style-type: none"> <li>1. Provide ongoing programmatic support to providers</li> <li>2. Assess provider and user satisfaction</li> <li>3. Formally evaluate provider progress and provide feedback a minimum of one time per year per provider</li> </ol>	<p>Ongoing</p> <p>Ongoing Ongoing</p>	<p>Monthly Report</p> <p>Satisfaction Survey Evaluation Report</p>
LINK representation	<ol style="list-style-type: none"> <li>1. Attend State SIRS Conferences</li> <li>2. Participate on SIRS Committees and local coalitions and committees</li> <li>3. Conduct presentations to community organizations and other interested groups</li> </ol>	<p>Semi-annual Ongoing</p> <p>Ongoing</p>	<p>Travel Receipts Monthly Report</p> <p>Monthly Report</p>

**COUNTY OF LOS ANGELES - DEPARTMENT OF HEALTH SERVICES  
PUBLIC HEALTH**

**IMMUNIZATION PROGRAM BUDGET - FISCAL YEAR 2007-2008**

**PASADENA PUBLIC HEALTH DEPARTMENT**

**JULY 1, 2007 through JUNE 30, 2008**

<u>PERSONNEL SERVICES</u>	<u>FTE</u>	<u>HRS/ % TIME</u>	<u>MONTHLY SALARY</u>	<u>REQUESTED BUDGET</u>
Program Coordinator	1	30.0%	\$4,402 - \$6,296	17,964
Subtotal Personnel				<u>17,964</u>
Employee Benefits @			30.00%	<u>5,389</u>
<b>TOTAL PERSONNEL SERVICES</b>				<b>23,353</b>
 <u>OPERATING EXPENSES</u>				
Services and Supplies				300
Health Education Materials				0
Travel				1,900
Subcontracts				<u>0</u>
<b>TOTAL OPERATING EXPENSES</b>				<b>2,200</b>
 <b>TOTAL BUDGET COSTS</b>				 <u><u>25,553</u></u>

EXHIBIT III

Contract No. H-700219

LOS ANGELES IMMUNIZATION NETWORK

AMENDMENT NO. 4

THIS AMENDMENT is made and entered into this \_\_\_\_\_ day  
of \_\_\_\_\_, 2006,

by and between

COUNTY OF LOS ANGELES (here-  
after "COUNTY"),

and

CITY OF LONG BEACH (hereafter  
"Contractor")

WHEREAS, reference is made to that certain document entitled  
"LOS ANGELES-ORANGE IMMUNIZATION NETWORK SERVICES AGREEMENT",  
dated February 10, 2004, further identified as County Agreement  
No. H-700219 and any amendments thereto, (all hereafter  
"Agreement") between County and Contractor; and

WHEREAS, it is the intent of the parties hereto to amend the  
Agreement to adjust funding for the current term or Fiscal Year  
2006-2007 and to renew the term of the agreement through  
June 30, 2008, contingent upon County's receipt of funds from the  
State for such a term; and

WHEREAS, County has received State's funds for the period of  
July 1, 2006 through June 30, 2007 and desires that Contractor  
continue to provide services as required by the State; and

WHEREAS, said Agreement provides that changes may be made in

the form of a written amendment which is formally approved and executed by the parties.

WHEREAS, the term "Director" as used herein refers to the Director of County's Department of Public Health, or his authorized designee (hereafter jointly referred to as "Director"); and

NOW, THEREFORE, the parties hereby agree as follows:

1. This amendment shall be effective on the date of approval by the County of Los Angeles Board of Supervisors ("Board "), and shall remain in full force and effect to and including June 30, 2008.

2. Effective the effective date of this Amendment, Exhibit A-4 "City of Long Beach Scope of Work July 1, 2006 to June 30, 2007" shall be replaced by Exhibit A-4-1 "City of Long Beach Scope of Work July 1, 2006 to June 30, 2007 " Schedule I-4 shall be replaced by Schedule A-4-I "Immunization Program Budget - Fiscal year 2006-2007".

3. Paragraph 1, TERM, shall be amended to read as follows:

"1. TERM: This Agreement shall commence on February 10, 2004 and shall remain in full force and effect to, and including June 30, 2007. This Agreement shall be thereafter automatically renewed for an additional twelve (12) months, effective July 1, 2007 through June 30, 2008, subject to County notifying Contractor of the availability of State funding to County for that Fiscal Year. If such State

funding is not forthcoming, the parties agree that this Agreement shall be deemed terminated as of June 30, 2007.

If for any reason the State grant which funds this Agreement is terminated or reduced, County shall have the right to immediately terminate this Agreement in whole or in part. Notice of such termination shall be served upon Contractor in writing. In any event, either party may terminate this Agreement with or without cause, upon giving of at least thirty (30) calendar days' advance written notice to the other.

Notwithstanding any other provision of this Paragraph, the failure of Contractor or its officers, employees, or agents to comply with any of the terms of this Agreement shall constitute a material breach hereof and the Agreement may be terminated by County immediately. County's failure to exercise this right of termination shall not constitute a waiver of such right, which may be exercised at any subsequent time."

4. Paragraph 2, DESCRIPTION OF SERVICES shall be amended to read as follows:

"2. DESCRIPTION OF SERVICES: Contractor shall provide services in the form as described in the body of the referred Agreement, and in Exhibit A-4-1 "City of Long Beach - Scope of Work, July 1, 2006 to June 30, 2007", and Schedule I-4-1 "Immunization Program Budget - Fiscal Year

2006-2007", attached hereto and incorporated herein by reference, and in Exhibit B "City of Long Beach - Scope of Work, July 1, 2007 to June 30, 2008", and Schedule B-I "Immunization Program Budget - Fiscal Year 2007-2008", attached hereto and incorporated herein by reference"

5. Paragraph 3, MAXIMUM OBLIGATION OF COUNTY, shall be amended to read as follows:

"3. MAXIMUM OBLIGATION OF COUNTY: From July 1, 2006 through June 30, 2007, the maximum obligation of County for Contractor's performance hereunder is Sixty Six Thousand Nine Hundred Seventy-Five Dollars (\$66,975) as set forth in Schedule I-4-I 'IMMUNIZATION PROGRAM BUDGET FISCAL YEAR 2006-2007', attached hereto and incorporated herein by reference.

The parties agree that, if this Agreement is renewed for the term of July 1, 2007 through June 30, 2008, the maximum obligation for Contractor's performance herein will be Sixty Six Thousand Nine Hundred Seventy-Five Dollars (\$66,975) as set forth in Schedule B-I 'IMMUNIZATION PROGRAM BUDGET FISCAL YEAR 2007-08', attached hereto and incorporated herein by reference.

Contractor shall use such funds only to pay for expenditure categories (i.e., Operating Expenses), as set forth in the Exhibit(s) and Schedule(s), attached hereto, and County shall be obligated to pay Contractor only to the

extent that such funds are reimbursable to County from the State."

6. Paragraph 4. BILLING AND PAYMENT, shall be revised to read as follows:

"4. BILLING AND PAYMENT: County shall compensate Contractor for actual reimbursable net costs incurred by Contractor in performing services hereunder.

A. County agrees to compensate Contractor in accordance with Schedule I-4-1 and Schedule B-I attached hereto.

B. Contractor shall bill County monthly in arrears. Contractor shall submit all invoices in duplicate and clearly reflect all required information as specified on such invoices and/or forms as may be furnished or required by County. Such invoices shall detail actual reimbursable costs incurred by Contractor in accordance with Schedule I-4-I and Schedule B-I, attached hereto. Each original invoice shall be approved and signed by Contractor's duly authorized designee. Original invoices shall be submitted to: Los Angeles County Immunization Program Office; 3530 Wilshire Boulevard, Suite 700; Los Angeles, California 90010; Attention: Contract Manager, with duplicate invoice to: Public Health Finance; 5555 Ferguson Drive, 1st Floor; City of Commerce, California 90022,

Attention: Contracts and Grants Unit; no later than fifteen (15) days after the end of each calendar month. After receipt of a correct and accurate billing, County shall pay Contractor in accordance with its customary accounts payable procedures.

C. County Audit Settlements:

(1) If an audit conducted by federal, State, and/or County representatives finds that actual reimbursable net costs for any services furnished hereunder are lower than the payments made thereof by County, and/or if it is determined by such audit that any payments made by County for a particular service is for costs which are not reimbursable pursuant to provisions of this Agreement, then the difference shall be repaid by Contractor.

(2) If within forty-five (45) calendar days of termination of the contract period, such audit finds that the allowable costs of services furnished hereunder are higher than the payments made by County, then the difference may be paid to Contractor.

D. In no event shall County be required to reimburse Contractor for those costs of services provided hereunder which are covered by revenue from or



on behalf of clients/patients or which are covered by funding from other governmental contracts or grants.

E. In no event shall County be required to pay Contractor more for all services provided hereunder than the maximum obligation of County as set forth in the MAXIMUM OBLIGATION OF COUNTY Paragraph of this Agreement, unless otherwise revised or amended under the terms of this Agreement.

F. Prior authorization, in writing, shall be required to claim reimbursement for travel outside Los Angeles County unless such expense is approved in the contract budget. Request for authorization shall be made in writing to Director and shall include the travel dates, locations, purpose/agenda, participants, and costs.

G. Withholding Payment:

(1) Subject to the reporting and data requirements of this Agreement and the Schedule(s) attached hereto, County may withhold any claim for payment by Contractor if any report or data is not delivered by Contractor to County within the time limits of submission as set forth in this Agreement, or if such report or data is incomplete in accordance with requirements set forth in this Agreement. This withholding may be invoked for any

succeeding month or months for reports or data not delivered in a complete and correct form for any given month.

(2) County may withhold any claim for payment by Contractor if Contractor has been given at least thirty (30) calendar days' notice of deficiency(ies) in compliance with the terms of this Agreement and has failed to correct such deficiency(ies). This withholding may be invoked for any succeeding month or months for deficiency(ies) not corrected.

(3) Upon acceptance by County of all report(s) and data previously not accepted under this provision and/or upon correction of the deficiency(ies) noted above, County shall reimburse all withheld payments on the next regular monthly claim for payment by Contractor.

(4) Subject to the provisions of the Schedule(s) of this Agreement, if the services are not completed by Contractor within the specified time, County may withhold all payments to Contractor under this Agreement between County and Contractor until proof of such services is delivered to County.

(5) In addition to Subparagraphs (1) through immediately above, Director may withhold claims for

payment by Contractor which are delinquent amounts due to County as determined by an audit report settlement, or financial evaluation report, resulting from this or prior years' Agreement(s).

H. Contractor agrees to reimburse County for any federal, State, or County audit exceptions resulting from noncompliance herein on the part of Contractor or any subcontractor."

7. Paragraph 9, FUNDING/SERVICES ADJUSTMENTS AND REALLOCATIONS, shall be amended to read as follows:

"9. FUNDING/SERVICES ADJUSTMENTS AND REALLOCATION:

A. If sufficient monies are appropriated from federal, State, or County funding sources, and upon Director's or his authorized designee's specific written approval, County may require additional services and pass on to Contractor an increase to the applicable County maximum obligation as payment for such services, as determined by County. For the purposes of this provision, Director's authorized designee shall be the Finance Manager, Department of Public Health. If monies are reduced by federal, State, or County funding sources, County may also decrease the applicable County maximum obligation as determined by County. Such funding changes will not be retroactive, but will apply to future services following the provision of written

notice from Director to Contractor. If such increase or decrease does not exceed twenty five percent (25%) of the applicable County maximum obligation, Director may approve such funding changes. Director shall provide prior written notice of such funding changes to Contractor and to County's Chief Administrative Officer ("CAO"). If the increase or decrease exceeds twenty five percent (25%) of the applicable County maximum obligation, approval by the County's Board of Supervisors shall be required. Any such changes in any County maximum obligation shall be effected by an amendment to this Agreement pursuant to the ALTERATION OF TERMS paragraph to this Agreement.

B. County and Contractor shall review Contractor's expenditures and commitments to utilize any funds, which are specified in this Agreement for the services hereunder and which are subject to time limitations as determined by Director, midway through each County fiscal year during the term of this Agreement, midway through the applicable time limitation period for such funds if such period is less than a County fiscal year, and/or at any other time or times during each County fiscal year as determined by Director. At least fifteen (15) days prior to each such review, Contractor shall provide Director with a current update of all of Contractor's expenditures and commitments of such

funds during such County fiscal year or other applicable time period.

If County determines from reviewing Contractor's records of service delivery and billings to County, that a significant underutilization of funds provided under this Agreement will occur over its term, the Director or County's Board of Supervisors may reduce the applicable County maximum obligation for services provided hereunder and reallocate such funds to other providers. Director may reallocate a maximum of twenty-five percent (25%) of the applicable County maximum obligation or One Hundred Thousand Dollars (\$100,000), whichever is greater. Director shall provide written notice of such reallocation to Contractor and to County's Chief Administrative Officer. Reallocation of funds in excess of the aforementioned amounts shall be approved by County's Board of Supervisors. Any change in any County maximum obligation shall be effected by an amendment to this Agreement pursuant to the ALTERATION OF TERMS paragraph of this Agreement.

8. Except for the changes set forth hereinabove, Agreement shall not be changed in any other respect by this Amendment.

In WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Amendment to be subscribed by its

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Director of Public Health and CONTRACTOR has caused this  
Amendment to be subscribed in its behalf by its duly authorized  
officer, the day, month, and year first above written.

COUNTY OF LOS ANGELES

By Jonathan E. Fielding, M.D., M.P.H.  
Director and Health Officer

CITY OF LONG BEACH  
Contractor

By \_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

Title \_\_\_\_\_  
(AFFIX CORPORATE SEAL)

APPROVED AS TO FORM BY THE  
OFFICE OF COUNTY COUNSEL

APPROVED AS TO CONTRACT  
ADMINISTRATION:

Department of Public Health

By Gary Izumi, Acting Chief  
Contracts and Grants Division

JR/ImmReg-LBeach-Am3  
06:4721-Oct, 2006

**Los Angeles Immunization Network (LINK)  
City of Long Beach - Scope of Work  
July 1, 2006 to June 30, 2007**

The contractor will achieve the following goals and objectives. Objectives are achieved by the following work plan, composed of implementation and evaluation activities. Activities are to be completed according to the stated timelines and are to be documented as specified.

Objectives	Activities	Timeline*	Evaluation
Maintenance and enhancement of LINK regional Infrastructure	<ol style="list-style-type: none"> <li>1. Participate in Executive Team meetings</li> <li>2. Participate in development of regional plans, policies, procedures, forms, and materials</li> <li>3. Participate in development of annual SIRS grant application</li> <li>4. Participate in development of supplemental regional grant applications</li> </ol>	<p>As scheduled Ongoing</p> <p>Annual</p> <p>As needed</p>	<p>Meeting minutes Meeting minutes, regional and local planning documents</p> <p>Written submission of grant content</p> <p>Written submission of grant content</p>
Recruit and deploy a minimum of eighteen (18) providers in the Long Beach jurisdiction	<ol style="list-style-type: none"> <li>1. Conduct demonstrations for interested providers</li> <li>2. Identify potential barriers to participation and develop action plan to address barriers.</li> <li>3. Develop local deployment plans and timelines</li> <li>4. Conduct programmatic site assessments</li> <li>5. Coordinate/conduct technical site assessments</li> <li>6. Ensure providers meet all programmatic and technical requirements prior to deployment</li> <li>7. Coordinate data migration process</li> <li>8. Schedule user training</li> <li>9. Schedule deployment date and coordinate deployment activities</li> <li>10. Provide on-site day-of-deployment support</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Monthly Report</p> <p>Monthly Report</p> <p>Programmatic Site Assessment tool Technical Site Assessment tool</p> <p>Deployment Checklists</p> <p>Data migration checklist Training calendar Deployment Calendar/Deployment Checklists</p> <p>Monthly Report</p>
Provide ongoing support to providers and conduct quality assurance	<ol style="list-style-type: none"> <li>1. Provide ongoing programmatic support to providers</li> <li>2. Assess provider and user satisfaction</li> <li>3. Formally evaluate provider progress and provide feedback a minimum of one time per year per provider</li> </ol>	<p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Satisfaction Survey Evaluation Report</p>

Objectives	Activities	Timeline*	Evaluation
LINK representation	<ol style="list-style-type: none"> <li>1. Attend State SIDS Conferences</li> <li>2. Participate on SIDS Committees and local coalitions and committees</li> <li>3. Conduct presentations to community organizations and other interested groups</li> </ol>	<p>Semi-annual Ongoing</p> <p>Ongoing</p>	<p>Travel Receipts Monthly Report</p> <p>Monthly Report</p>



<p align="center"> <b>COUNTY OF LOS ANGELES - DEPARTMENT OF HEALTH SERVICES</b>  <b>PUBLIC HEALTH</b>  <b>IMMUNIZATION PROGRAM BUDGET - FISCAL YEAR 2006-2007</b>  <b>LONG BEACH DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>  <b>JULY 1, 2006 through JUNE 30, 2007</b> </p>
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<u>PERSONNEL SERVICES</u>	<u>FTE</u>	<u>HRS/ % TIME</u>	<u>MONTHLY SALARY</u>	<u>REQUESTED BUDGET</u>
LINK Coordinator	1	50.0%	\$4,915	29,490
Outreach Worker II	1	47.0%	\$2,951	16,644
Total Staff Costs				<u>\$46,134</u>
<b>FRINGE BENEFITS @</b>			38.0%	<u>17,531</u>
<b>TOTAL PERSONNEL COSTS</b>				<b>\$63,665</b>
<u>OPERATING EXPENSES</u>				
Services and Supplies				600
Health Education Materials				0
Travel				2,710
Subcontracts				0
<b>TOTAL OPERATING EXPENSES</b>				<u><b>\$3,310</b></u>
<b>TOTAL BUDGET COSTS</b>				<u><u><b>\$66,975</b></u></u>

**LOS ANGELES IMMUNIZATION NETWORK (LINK)  
CITY OF LONG BEACH - SCOPE OF WORK  
JULY 1, 2007 TO JUNE 30, 2008**

The contractor will achieve the following goals and objectives. Objectives are achieved by the following work plan, composed of implementation and evaluation activities. Activities are to be completed according to the stated timelines and are to be documented as specified.

Objectives	Activities	Timeline*	Evaluation
Maintenance and enhancement of LINK regional Infrastructure	<ol style="list-style-type: none"> <li>1. Participate in Executive Team meetings</li> <li>2. Participate in development of regional plans, policies, procedures, forms, and materials</li> <li>3. Participate in development of annual SIIS grant application</li> <li>4. Participate in development of supplemental regional grant applications</li> </ol>	<p>As scheduled Ongoing</p> <p>Annual</p> <p>As needed</p>	<p>Meeting minutes Meeting minutes, regional and local planning documents</p> <p>Written submission of grant content</p> <p>Written submission of grant content</p>
Recruit and deploy a minimum of eighteen (18) providers in the Long Beach jurisdiction	<ol style="list-style-type: none"> <li>1. Conduct demonstrations for interested providers</li> <li>2. Identify potential barriers to participation and develop action plan to address barriers.</li> <li>3. Develop local deployment plans and timelines</li> <li>4. Conduct programmatic site assessments</li> <li>5. Coordinate/conduct technical site assessments</li> <li>6. Ensure providers meet all programmatic and technical requirements prior to deployment</li> <li>7. Coordinate data migration process</li> <li>8. Schedule user training</li> <li>9. Schedule deployment date and coordinate deployment activities</li> <li>10. Provide on-site day-of-deployment support</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Monthly Report</p> <p>Monthly Report</p> <p>Programmatic Site Assessment tool</p> <p>Technical Site Assessment tool</p> <p>Deployment Checklists</p> <p>Data migration checklist</p> <p>Training calendar</p> <p>Deployment Calendar/Deployment Checklists</p> <p>Monthly Report</p>
Provide ongoing support to providers and conduct quality assurance	<ol style="list-style-type: none"> <li>1. Provide ongoing programmatic support to providers</li> <li>2. Assess provider and user satisfaction</li> <li>3. Formally evaluate provider progress and provide feedback a minimum of one time per year per provider</li> </ol>	<p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Satisfaction Survey</p> <p>Evaluation Report</p>

Objectives	Activities	Timeline*	Evaluation
LINK representation	1. Attend State SIIS Conferences 2. Participate on SIIS Committees and local coalitions and committees 3. Conduct presentations to community organizations and other interested groups	Semi-annual Ongoing  Ongoing	Travel Receipts Monthly Report  Monthly Report

**COUNTY OF LOS ANGELES - DEPARTMENT OF HEALTH SERVICES**  
**PUBLIC HEALTH**  
**IMMUNIZATION PROGRAM BUDGET - FISCAL YEAR 2007-2008**  
**LONG BEACH DEPARTMENT OF HEALTH AND HUMAN SERVICES**  
**JULY 1, 2007 through JUNE 30, 2008**

<u>PERSONNEL SERVICES</u>	<u>FTE</u>	<u>HRS/ % TIME</u>	<u>MONTHLY SALARY</u>	<u>REQUESTED BUDGET</u>
LINK Coordinator	1	50.0%	\$4,915	29,490
Outreach Worker II	1	47.0%	\$2,951	16,644
Total Staff Costs				<u>\$46,134</u>
FRINGE BENEFITS @			38.0%	<u>17,531</u>
<b>TOTAL PERSONNEL COSTS</b>				<b>\$63,665</b>
<u>OPERATING EXPENSES</u>				
Services and Supplies				600
Health Education Materials				0
Travel				2,710
Subcontracts				0
<b>TOTAL OPERATING EXPENSES</b>				<u><b>\$3,310</b></u>
<b>TOTAL BUDGET COSTS</b>				<u><u><b>\$66,975</b></u></u>

Contract No. H-700714

LOS ANGELES IMMUNIZATION NETWORK

AMENDMENT NO. 2

THIS AMENDMENT is made and entered into this \_\_\_\_\_ day  
of \_\_\_\_\_, 2006,

by and between

COUNTY OF LOS ANGELES (here-  
after "COUNTY"),

and

ORANGE COUNTY HEALTH CARE  
AGENCY (hereafter  
"Contractor")

WHEREAS, reference is made to that certain document entitled  
"LOS ANGELES-ORANGE IMMUNIZATION NETWORK SERVICES AGREEMENT",  
dated October 4, 2004, further identified as County Agreement No.  
H-700714 and any amendments thereto, (all hereafter  
"Agreement") between County and Contractor; and

WHEREAS, it is the intent of the parties hereto to amend the  
Agreement to adjust funding for the current term or Fiscal Year  
2006-2007 and to renew the term of the agreement through  
June 30, 2008, contingent upon County's receipt of funds from the  
State for such a term; and

WHEREAS, County has received State's funds for the period of  
July 1, 2006 through June 30, 2007 and desires that Contractor  
continue to provide services as required by the State; and

WHEREAS, said Agreement provides that changes may be made in

the form of a written amendment which is formally approved and executed by the parties.

WHEREAS, the term "Director" as used herein refers to the Director of County's Department of Public Health, or his authorized designee (hereafter jointly referred to as "Director"); and

NOW, THEREFORE, the parties hereby agree as follows:

1. This amendment shall be effective on the date of approval by the County of Los Angeles Board of Supervisors ("Board "), and shall remain in full force and effect to and including June 30, 2008.

2. Effective the effective date of this Amendment, Exhibit C Scope of Work shall be replaced by Exhibit C-1 "Orange County Health Care Agency - Scope of Work, July 1, 2006 to June 30, 2007", and Schedule III shall be replaced by Schedule III-1.

3. Paragraph 1, TERM shall be amended to read as follows:

"1. TERM: This Agreement shall commence on October 5, 2004 and shall remain in full force and effect to, and including June 30, 2007. This Agreement shall be thereafter automatically renewed for an additional twelve (12) months, effective July 1, 2007 through June 30, 2008, subject to County notifying Contractor of the availability of State funding to County for that Fiscal Year. If such State funding is not forthcoming, the parties agree that this Agreement shall be deemed terminated as of June 30, 2007.

If for any reason the State grant which funds this Agreement is terminated or reduced, County shall have the right to immediately terminate this Agreement in whole or in part. Notice of such termination shall be served upon Contractor in writing. In any event, either party may terminate this Agreement with or without cause, upon giving of at least thirty (30) calendar days' advance written notice to the other.

Notwithstanding any other provision of this Paragraph, the failure of Contractor or its officers, employees, or agents to comply with any of the terms of this Agreement shall constitute a material breach hereof and the Agreement may be terminated by County immediately. County's failure to exercise this right of termination shall not constitute a waiver of such right, which may be exercised at any subsequent time."

4. Paragraph 2, DESCRIPTION OF SERVICES shall be amended to read as follows:

"2. DESCRIPTION OF SERVICES: Contractor shall provide services in the form as described in the body of the referred Agreement, and in Exhibit C-1 'Orange County Health Care Agency - Scope of Work, July 1, 2006 to June 30, 2007', and Schedule III-1 , 'Immunization Budget - Fiscal Year 2006-07, attached hereto and incorporated herein by reference, and in Exhibit B 'Orange County Health Care

Agency - Scope of Work, July 1, 2007 to June 30, 2008', and Schedule B-I 'Immunization Budget - Fiscal Year 2007-08', attached hereto and incorporated herein by reference"

5. Paragraph 3, MAXIMUM OBLIGATION OF COUNTY, shall be amended to read as follows:

"3. MAXIMUM OBLIGATION OF COUNTY: From July 1, 2006 through June 30, 2007, the maximum obligation of County for Contractor's performance hereunder is One Hundred Forty Nine Thousand Seven Hundred Sixty Eight Dollars (\$149,768) as set forth in Schedule III-1 'Immunization Program Budget - Fiscal Year 2006-2007', attached hereto and incorporated herein by reference.

The parties agree that, if this Agreement is renewed for the term of July 1, 2007 through June 30, 2008, the maximum obligation for Contractor's performance herein will be One Hundred Forty Nine Thousand Seven Hundred Sixty-Eight Dollars (\$149,768) as set forth in Schedule B-I 'Immunization Program Budget - Fiscal Year 2007-2008', attached hereto and incorporated herein by reference.

Contractor shall use such funds only to pay for expenditure categories (i.e., Operating Expenses), as set forth in the Exhibit(s) and Schedule(s), attached hereto, and County shall be obligated to pay Contractor only to the extent that such funds are reimbursable to County from the State."



6. Paragraph 4. BILLING AND PAYMENT, shall be revised to read as follows:

"4. BILLING AND PAYMENT: County shall compensate Contractor for actual reimbursable net costs incurred by Contractor in performing services hereunder.

A. County agrees to compensate Contractor in accordance with Schedule III-1 and Schedule B-I attached hereto.

B. Contractor shall bill County monthly in arrears. Contractor shall submit all invoices in duplicate and clearly reflect all required information as specified on such invoices and/or forms as may be furnished or required by County. Such invoices shall detail actual reimbursable costs incurred by Contractor in accordance with Schedule III-1 and Schedule B-I, attached hereto. Each original invoice shall be approved and signed by Contractor's duly authorized designee. Original invoices shall be submitted to: Los Angeles County Immunization Program Office; 3530 Wilshire Boulevard, Suite 700; Los Angeles, California 90010; Attention: Contract Manager, with duplicate invoice to: Public Health Finance; 5555 Ferguson Drive, 1st Floor; City of Commerce, California 90022, Attention: Contracts and Grants Unit; no later than fifteen (15) days after the end of each calendar month.

After receipt of a correct and accurate billing, County shall pay Contractor in accordance with its customary accounts payable procedures.

C. County Audit Settlements:

(1) If an audit conducted by federal, State, and/or County representatives finds that actual reimbursable net costs for any services furnished hereunder are lower than the payments made thereof by County, and/or if it is determined by such audit that any payments made by County for a particular service is for costs which are not reimbursable pursuant to provisions of this Agreement, then the difference shall be repaid by Contractor.

(2) If within forty-five (45) calendar days of termination of the contract period, such audit finds that the allowable costs of services furnished hereunder are higher than the payments made by County, then the difference may be paid to Contractor.

D. In no event shall County be required to reimburse Contractor for those costs of services provided hereunder which are covered by revenue from or on behalf of clients/patients or which are covered by funding from other governmental contracts or grants.

E. In no event shall County be required to pay

Contractor more for all services provided hereunder than the maximum obligation of County as set forth in the MAXIMUM OBLIGATION OF COUNTY Paragraph of this Agreement, unless otherwise revised or amended under the terms of this Agreement.

F. Prior authorization, in writing, shall be required to claim reimbursement for travel outside Los Angeles County unless such expense is approved in the contract budget. Request for authorization shall be made in writing to Director and shall include the travel dates, locations, purpose/agenda, participants, and costs.

G. Withholding Payment:

(1) Subject to the reporting and data requirements of this Agreement and the Schedule(s) attached hereto, County may withhold any claim for payment by Contractor if any report or data is not delivered by Contractor to County within the time limits of submission as set forth in this Agreement, or if such report or data is incomplete in accordance with requirements set forth in this Agreement. This withholding may be invoked for any succeeding month or months for reports or data not delivered in a complete and correct form for any given month.

(2) County may withhold any claim for payment by Contractor if Contractor has been given at least thirty (30) calendar days' notice of deficiency(ies) in compliance with the terms of this Agreement and has failed to correct such deficiency(ies). This withholding may be invoked for any succeeding month or months for deficiency(ies) not corrected.

(3) Upon acceptance by County of all report(s) and data previously not accepted under this provision and/or upon correction of the deficiency(ies) noted above, County shall reimburse all withheld payments on the next regular monthly claim for payment by Contractor.

(4) Subject to the provisions of the Schedule(s) of this Agreement, if the services are not completed by Contractor within the specified time, County may withhold all payments to Contractor under this Agreement between County and Contractor until proof of such services is delivered to County.

(5) In addition to Subparagraphs (1) through immediately above, Director may withhold claims for payment by Contractor which are delinquent amounts due to County as determined by an audit report

settlement, or financial evaluation report,  
resulting from this or prior years' Agreement(s).

H. Contractor agrees to reimburse County for any federal, State, or County audit exceptions resulting from noncompliance herein on the part of Contractor or any subcontractor."

7. Paragraph 9, FUNDING/SERVICES ADJUSTMENTS AND REALLOCATIONS, shall be amended to read as follows:

"9. FUNDING/SERVICES ADJUSTMENTS AND REALLOCATION:

A. If sufficient monies are appropriated from federal, State, or County funding sources, and upon Director's or his authorized designee's specific written approval, County may require additional services and pass on to Contractor an increase to the applicable County maximum obligation as payment for such services, as determined by County. For the purposes of this provision, Director's authorized designee shall be the Finance Manager, Department of Public Health. If monies are reduced by federal, State, or County funding sources, County may also decrease the applicable County maximum obligation as determined by County. Such funding changes will not be retroactive, but will apply to future services following the provision of written notice from Director to Contractor. If such increase or decrease does not exceed twenty five percent (25%) of

the applicable County maximum obligation, Director may approve such funding changes. Director shall provide prior written notice of such funding changes to Contractor and to County's Chief Administrative Officer ("CAO"). If the increase or decrease exceeds twenty five percent (25%) of the applicable County maximum obligation, approval by the County's Board of Supervisors shall be required. Any such changes in any County maximum obligation shall be effected by an amendment to this Agreement pursuant to the ALTERATION OF TERMS paragraph to this Agreement.

B. County and Contractor shall review Contractor's expenditures and commitments to utilize any funds, which are specified in this Agreement for the services hereunder and which are subject to time limitations as determined by Director, midway through each County fiscal year during the term of this Agreement, midway through the applicable time limitation period for such funds if such period is less than a County fiscal year, and/or at any other time or times during each County fiscal year as determined by Director. At least fifteen (15) days prior to each such review, Contractor shall provide Director with a current update of all of Contractor's expenditures and commitments of such funds during such County fiscal year or other applicable time period.

If County determines from reviewing Contractor's records of service delivery and billings to County, that a significant underutilization of funds provided under this Agreement will occur over its term, the Director or County's Board of Supervisors may reduce the applicable County maximum obligation for services provided hereunder and reallocate such funds to other providers. Director may reallocate a maximum of twenty-five percent (25%) of the applicable County maximum obligation or One Hundred Thousand Dollars (\$100,000), whichever is greater. Director shall provide written notice of such reallocation to Contractor and to County's Chief Administrative Officer. Reallocation of funds in excess of the aforementioned amounts shall be approved by County's Board of Supervisors. Any change in any County maximum obligation shall be effected by an amendment to this Agreement pursuant to the ALTERATION OF TERMS paragraph of this Agreement.

8. Except for the changes set forth hereinabove, Agreement shall not be changed in any other respect by this Amendment.

In WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Amendment to be subscribed by its

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Director of Public Health and CONTRACTOR has caused this  
Amendment to be subscribed in its behalf by its duly authorized  
officer, the day, month, and year first above written.

COUNTY OF LOS ANGELES

By Jonathan E. Fielding, M.D., M.P.H.  
Director and Health Officer

Orange County Health Care Agency  
Contractor

By \_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

Title \_\_\_\_\_  
(AFFIX CORPORATE SEAL)

APPROVED AS TO FORM BY THE  
OFFICE OF COUNTY COUNSEL

APPROVED AS TO CONTRACT  
ADMINISTRATION:

Department of Public Health

By Gary Izumi, Acting Chief  
Contracts and Grants Division

JR/ImmReg-OCHCA-Am2  
06:4721-Oct, 2006



**Los Angeles Immunization Network (LINK)  
County of Orange Health Care Agency - Scope of Work  
July 1, 2006 to June 30, 2007**

The contractor will achieve the following goals and objectives. Objectives are achieved by the following work plan, composed of implementation and evaluation activities. Activities are to be completed according to the stated timelines and are to be documented as specified.

Objectives	Activities	Timeline *	Evaluation
Maintenance and enhancement of LINK regional Infrastructure	<ol style="list-style-type: none"> <li>1. Participate in Executive Team meetings</li> <li>2. Participate in development of regional plans, policies, procedures, forms, and materials</li> <li>3. Participate in development of annual SIRS grant application</li> <li>4. Participate in development of supplemental regional grant applications</li> </ol>	<p>As scheduled Ongoing</p> <p>Annual</p> <p>As needed</p>	<p>Meeting minutes Meeting minutes, regional and local planning documents</p> <p>Written submission of grant content</p> <p>Written submission of grant content</p>
Recruit and deploy a minimum of eight (8) new providers in the County of Orange jurisdiction	<ol style="list-style-type: none"> <li>1. Conduct demonstrations for interested providers</li> <li>2. Identify potential barriers to participation and develop action plan to address barriers</li> <li>3. Develop local deployment plans and timelines</li> <li>4. Conduct programmatic and technical site assessments</li> <li>5. Coordinate/conduct technical site assessments</li> <li>6. Ensure providers meet all programmatic and technical requirements prior to deployment</li> <li>7. Coordinate data migration process</li> <li>8. Schedule user training</li> <li>9. Schedule deployment date and coordinate deployment activities</li> <li>10. Provide on-site day-of-deployment support</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Monthly Report</p> <p>Monthly Report</p> <p>Programmatic and Technical Site Assessment tools</p> <p>Technical Site Assessment tool</p> <p>Deployment Checklists</p> <p>Data migration checklist Training calendar Deployment Calendar/Deployment Checklists</p> <p>Monthly Report</p>

Objectives	Activities	Timeline*	Evaluation
Provide ongoing support to providers and conduct quality assurance	<ol style="list-style-type: none"> <li>1. Provide ongoing programmatic support to providers</li> <li>2. Provide ongoing on-site technical support to providers</li> <li>3. Assess provider and user satisfaction</li> <li>4. Formally evaluate provider progress and provide feedback a minimum of one time per year per provider</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Help Desk Log</p> <p>Satisfaction Survey</p> <p>Evaluation Report</p>
LINK representation	<ol style="list-style-type: none"> <li>1. Attend State SIRS Conferences</li> <li>2. Participate on SIRS Committees and local coalitions and committees</li> <li>3. Conduct presentations to community organizations and other interested groups</li> </ol>	<p>Semi-annual</p> <p>Ongoing</p> <p>Ongoing</p>	<p>Travel Receipts</p> <p>Monthly Report</p> <p>Monthly Report</p>

**COUNTY OF LOS ANGELES - DEPARTMENT OF HEALTH SERVICES**  
**PUBLIC HEALTH**  
**IMMUNIZATION PROGRAM BUDGET - FISCAL YEAR 2006-2007**  
**COUNTY OF ORANGE HEALTH CARE AGENCY**  
**JULY 1, 2006 through JUNE 30, 2007**

<u>PERSONNEL SERVICES</u>	<u>FTE</u>	<u>HRS/ % TIME</u>	<u>MONTHLY SALARY</u>	<u>REQUESTED BUDGET</u>
HCA Program Specialist II	1	50.0%	\$4,090 - \$5,514	28,194
Staff Specialist	1	50.0%	\$3,312 - \$4,430	24,600
Staff Specialist	1	50.0%	\$3,312 - \$4,430	24,600
Staff Nurse	1	50.0%	\$4,091 - \$4,697	28,182
Total Staff Costs				<u>\$105,576</u>
FRINGE BENEFITS @			38.00%	<u>40,119</u>
<b>TOTAL PERSONNEL COSTS</b>				<b>\$145,695</b>
<u>OPERATING EXPENSES</u>				
Services and Supplies				2,363
Health Education Materials				0
Travel				1,710
Subcontracts				0
<b>TOTAL OPERATING EXPENSES</b>				<u>4,073</u>
<b>TOTAL BUDGET COSTS</b>				<u><u>149,768</u></u>

**LOS ANGELES IMMUNIZATION NETWORK (LINK)  
COUNTY OF ORANGE HEALTH CARE AGENCY - SCOPE OF WORK  
JULY 1, 2007 TO JUNE 30, 2008**

The contractor will achieve the following goals and objectives. Objectives are achieved by the following work plan, composed of implementation and evaluation activities. Activities are to be completed according to the stated timelines and are to be documented as specified.

Objectives	Activities	Timeline*	Evaluation
Maintenance and enhancement of LINK regional Infrastructure	<ol style="list-style-type: none"> <li>1. Participate in Executive Team meetings</li> <li>2. Participate in development of regional plans, policies, procedures, forms, and materials</li> <li>3. Participate in development of annual SIIS grant application</li> <li>4. Participate in development of supplemental regional grant applications</li> </ol>	<p>As scheduled Ongoing</p> <p>Annual</p> <p>As needed</p>	<p>Meeting minutes Meeting minutes, regional and local planning documents</p> <p>Written submission of grant content</p> <p>Written submission of grant content</p>
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Objectives	Activities	Timeline*	Evaluation
Provide ongoing support to providers and conduct quality assurance	<ol style="list-style-type: none"> <li>1. Provide ongoing programmatic support to providers</li> <li>2. Provide ongoing on-site technical support to providers</li> <li>3. Assess provider and user satisfaction</li> <li>4. Formally evaluate provider progress and provide feedback a minimum of one time per year per provider</li> </ol>	<p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p> <p>Ongoing</p>	<p>Monthly Report</p> <p>Help Desk Log</p> <p>Satisfaction Survey Evaluation Report</p>
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<p align="center"> <b>COUNTY OF LOS ANGELES - DEPARTMENT OF HEALTH SERVICES</b>  <b>PUBLIC HEALTH</b>  <b>IMMUNIZATION PROGRAM BUDGET - FISCAL YEAR 2007-2008</b>  <b>COUNTY OF ORANGE HEALTH CARE AGENCY</b>  <b>JULY 1, 2007 through JUNE 30, 2008</b> </p>
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<u>PERSONNEL SERVICES</u>	<u>FTE</u>	<u>HRS/ % TIME</u>	<u>MONTHLY SALARY</u>	<u>REQUESTED BUDGET</u>
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